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# PART I – DRIVER

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>MANUAL PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. DRIVER’S AGE</td>
<td>2</td>
</tr>
<tr>
<td>*2. OPERATOR’S/CHAUFFEUR’S LICENSE OR PERMIT (NON CDL)</td>
<td>2</td>
</tr>
<tr>
<td>*3. CDL</td>
<td>2</td>
</tr>
<tr>
<td>*License</td>
<td>2</td>
</tr>
<tr>
<td>Commercial Learner’s Permit (CLP)</td>
<td>3</td>
</tr>
<tr>
<td>Endorsements and Restrictions</td>
<td>3</td>
</tr>
<tr>
<td>Classification</td>
<td>3</td>
</tr>
<tr>
<td>*4. DRIVER MEDICAL/PHYSICAL REQUIREMENTS</td>
<td>3</td>
</tr>
<tr>
<td>Skill Performance Evaluation Certificate</td>
<td>3</td>
</tr>
<tr>
<td>*Medical Certificate</td>
<td>3</td>
</tr>
<tr>
<td>5. SICKNESS</td>
<td>4</td>
</tr>
<tr>
<td>6. FATIGUE</td>
<td>4</td>
</tr>
<tr>
<td>7. COMMUNICATION</td>
<td>4</td>
</tr>
<tr>
<td>8. DRUGS AND OTHER SUBSTANCES</td>
<td>5</td>
</tr>
<tr>
<td>9. INTOXICATING BEVERAGES</td>
<td>5</td>
</tr>
<tr>
<td>10. DRIVER’S RECORD OF DUTY STATUS – U.S.</td>
<td>5</td>
</tr>
<tr>
<td>Property-Carrying Vehicles</td>
<td>5</td>
</tr>
<tr>
<td>Passenger-Carrying Vehicles</td>
<td>6</td>
</tr>
<tr>
<td>Hours of Service Out-of-Service Order</td>
<td>7</td>
</tr>
<tr>
<td>Footnotes</td>
<td>7</td>
</tr>
<tr>
<td>11. DRIVER’S RECORD OF DUTY STATUS – Canada</td>
<td>9</td>
</tr>
<tr>
<td>Footnotes</td>
<td>10</td>
</tr>
<tr>
<td>12. DRIVER’S RECORD OF DUTY STATUS – Mexico</td>
<td>11</td>
</tr>
</tbody>
</table>
## PART II – VEHICLE DESCRIPTION

### 1. BRAKE SYSTEMS

<table>
<thead>
<tr>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>*Defective Brakes (20 Percent Rule)</td>
<td>15</td>
</tr>
<tr>
<td>Absence of Effective Braking Action</td>
<td>16</td>
</tr>
<tr>
<td>Audible Air Leak at Air Chamber</td>
<td>16</td>
</tr>
<tr>
<td>Missing Brakes</td>
<td>16</td>
</tr>
<tr>
<td>Brake Adjustment Limits</td>
<td>16</td>
</tr>
<tr>
<td>Brake Adjustment Limit Charts</td>
<td>17</td>
</tr>
<tr>
<td>*Drum Air Brakes</td>
<td>19</td>
</tr>
<tr>
<td>*Air Disc Brakes</td>
<td>20</td>
</tr>
<tr>
<td>*Hydraulic and Electric Brakes</td>
<td>21</td>
</tr>
<tr>
<td>Front Steering Axle(s) Brakes (In Addition to the 20 Percent Rule)</td>
<td>22</td>
</tr>
<tr>
<td>Inoperative or Missing Brake</td>
<td>22</td>
</tr>
<tr>
<td>Drum Air Brakes</td>
<td>22</td>
</tr>
<tr>
<td>Air Disc Brakes</td>
<td>23</td>
</tr>
<tr>
<td>Hydraulic Brakes</td>
<td>23</td>
</tr>
<tr>
<td>Spring Brake Chambers</td>
<td>24</td>
</tr>
<tr>
<td>Trailer Breakaway and Emergency Braking</td>
<td>24</td>
</tr>
<tr>
<td>Parking Brake</td>
<td>24</td>
</tr>
<tr>
<td>Brake Smoke/Fire</td>
<td>24</td>
</tr>
<tr>
<td>Brake Drums or Rotors (Disc)</td>
<td>24</td>
</tr>
<tr>
<td>Brake Hose/Tubing</td>
<td>25</td>
</tr>
<tr>
<td>Air Pressure Gauge</td>
<td>25</td>
</tr>
<tr>
<td>Low Air Pressure Warning Device</td>
<td>25</td>
</tr>
<tr>
<td>*Air Loss Rate</td>
<td>25</td>
</tr>
<tr>
<td>Tractor Protection System</td>
<td>26</td>
</tr>
<tr>
<td>Air Reservoir (Tank)</td>
<td>26</td>
</tr>
<tr>
<td>Air Compressor</td>
<td>26</td>
</tr>
<tr>
<td>Hydraulic Brakes</td>
<td>26</td>
</tr>
<tr>
<td>Vacuum Brakes</td>
<td>27</td>
</tr>
<tr>
<td>Performance-Based Brake Test (PBBT)</td>
<td>27</td>
</tr>
</tbody>
</table>

### 2. CARGO SECUREMENT

<table>
<thead>
<tr>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>General</td>
<td>27</td>
</tr>
<tr>
<td>Aggregate Working Load Limit</td>
<td>28</td>
</tr>
<tr>
<td>Commodity Specific Requirements</td>
<td>29</td>
</tr>
<tr>
<td>Tiedown Defect Table</td>
<td>31</td>
</tr>
<tr>
<td>Chain Defects</td>
<td>31</td>
</tr>
<tr>
<td>Wire Rope Defects</td>
<td>32</td>
</tr>
<tr>
<td>Cordage (Fiber Rope) Defects</td>
<td>33</td>
</tr>
<tr>
<td>Synthetic Webbing Defects</td>
<td>33</td>
</tr>
<tr>
<td>Steel Strapping Defects</td>
<td>35</td>
</tr>
<tr>
<td>Fitting/Attachment/Tensioning Device Defects</td>
<td>35</td>
</tr>
<tr>
<td>Anchor Point Defects</td>
<td>35</td>
</tr>
</tbody>
</table>
# Description Manual Page

<table>
<thead>
<tr>
<th>*3. COUPLING DEVICES</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Fifth Wheels</td>
<td>36</td>
</tr>
<tr>
<td>Lower CouplerAssembly</td>
<td>36</td>
</tr>
<tr>
<td>Upper CouplerAssembly (Including Kingpin)</td>
<td>37</td>
</tr>
<tr>
<td>Pintle Hooks</td>
<td>38</td>
</tr>
<tr>
<td>Drawbar Eye</td>
<td>39</td>
</tr>
<tr>
<td>Drawbar/Tongue</td>
<td>39</td>
</tr>
<tr>
<td>Safety Devices</td>
<td>40</td>
</tr>
<tr>
<td>Hitch Systems (Excluding Fifth Wheels and Pintle Hooks)</td>
<td>40</td>
</tr>
<tr>
<td>Saddle-Mounts (Method of Attachment)</td>
<td>40</td>
</tr>
<tr>
<td>Full Trailer (Double Ring, Ball-Bearing Turntable)</td>
<td>41</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>*4. DRIVELINE/DRIVESHAFT</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>*Yoke Ends (Including Slip Yoke, Yoke Shaft, Tube Yoke and End Fitting Yoke)</td>
<td>41</td>
</tr>
<tr>
<td>*Universal Joint</td>
<td>42</td>
</tr>
<tr>
<td>*Center Bearing (Carrier Bearing)</td>
<td>42</td>
</tr>
<tr>
<td>*Driveshaft Tube</td>
<td>43</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>*5. EXHAUST SYSTEMS</th>
<th>43</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>*6. FRAMES</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Members</td>
<td>44</td>
</tr>
<tr>
<td>Tire and Wheel Clearance</td>
<td>44</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>*7. FUEL SYSTEMS</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Liquid Fuels</td>
<td>45</td>
</tr>
<tr>
<td>Gaseous Fuels</td>
<td>45</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>*8. LIGHTING DEVICES (HEADLAMPS, TAIL LAMPS, STOP LAMPS, TURN SIGNALS AND LAMPS / FLAGS ON PROJECTING LOADS)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>When Required</td>
<td>47</td>
</tr>
<tr>
<td>Anytime</td>
<td>47</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>*9. STEERING MECHANISMS</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Steering Wheel Lash (Free Play)</td>
<td>48</td>
</tr>
<tr>
<td>Steering Column</td>
<td>48</td>
</tr>
<tr>
<td>Front Axle Beam (Including Hub)</td>
<td>48</td>
</tr>
<tr>
<td>Steering Gear Box (Including Rack and Pinion)</td>
<td>49</td>
</tr>
<tr>
<td>Pitman Arm</td>
<td>49</td>
</tr>
<tr>
<td>Power Steering</td>
<td>49</td>
</tr>
<tr>
<td>Ball and Socket Joints</td>
<td>49</td>
</tr>
<tr>
<td>Tie Rods and Drag Links</td>
<td>49</td>
</tr>
<tr>
<td>DESCRIPTION</td>
<td>MANUAL PAGE</td>
</tr>
<tr>
<td>---------------------------------------------------------------------------</td>
<td>-------------</td>
</tr>
<tr>
<td>Nuts</td>
<td>49</td>
</tr>
<tr>
<td>Steering System</td>
<td>50</td>
</tr>
<tr>
<td>C-Dolly</td>
<td>50</td>
</tr>
</tbody>
</table>

**10. SUSPENSIONS**

* Axle Parts/Members                                                       | 50          |
* Spring Assembly                                                          | 50          |
Coil/Rubber Spring and Air Suspension                                      | 52          |
Composite Springs                                                          | 52          |
* Suspension Connecting Rod, Tracking Component Assembly or Sway Bar Components | 53          |
* Adjustable Axle(s)                                                       | 54          |

**11. TIRES**

Front Steering Axle(s)                                                     | 55          |
Other Than Front Steering Axle(s)                                          | 56          |

**12. VAN AND OPEN-TOP TRAILER BODIES**

Upper Rail                                                                 | 57          |
Lower Rail                                                                 | 58          |
Floor Crossmembers                                                         | 58          |
Side Panels on Fiberglass Reinforced Plywood (FRP) Trailers                | 58          |

**13. WHEELS, RIMS AND HUBS**

Lock or Side Ring                                                          | 59          |
Rim Cracks                                                                 | 59          |
Disc Wheel Cracks                                                          | 59          |
Bolt/Stud Holes (Disc Wheels)                                              | 59          |
Spoke Wheel Cracks                                                         | 59          |
Tubeless Demountable Adapter Cracks                                        | 59          |
Wheel Fasteners                                                           | 59          |
Welds                                                                      | 59          |
Hubs                                                                       | 60          |

**14. WINDSHIELD WIPERS**

|                                                                                  | 60          |

**15. EMERGENCY EXITS AND/OR ELECTRICAL CABLES AND SYSTEMS IN ENGINE AND BATTERY COMPARTMENTS (BUSES)**

Emergency Exits                                                           | 60          |
Electrical Cables and Systems in Engine and Battery Compartments           | 61          |
# PART III – HAZARDOUS MATERIALS

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>MANUAL PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. SHIPPING PAPERS</td>
<td>64</td>
</tr>
<tr>
<td>2. PLACARDING</td>
<td>64</td>
</tr>
<tr>
<td>3. BULK PACKAGES</td>
<td>64</td>
</tr>
<tr>
<td>4. TRANSPORT VEHICLE MARKINGS</td>
<td>65</td>
</tr>
<tr>
<td>5. POISON INHALATION HAZARD (PIH) MARKINGS</td>
<td>65</td>
</tr>
<tr>
<td>6. NON-BULK PACKAGES</td>
<td>65</td>
</tr>
<tr>
<td>7. LOADING AND SECUREMENT</td>
<td>66</td>
</tr>
<tr>
<td>8. FORBIDDEN ITEMS</td>
<td>66</td>
</tr>
<tr>
<td>9. RADIOACTIVE MATERIALS – RADIATION LEVELS</td>
<td>66</td>
</tr>
<tr>
<td>10. EMERGENCY RESPONSE ASSISTANCE PLAN (ERAP)</td>
<td>66</td>
</tr>
<tr>
<td>(In Canada Only)</td>
<td></td>
</tr>
</tbody>
</table>

# PART IV – ADMINISTRATIVE

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>MANUAL PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. OPERATING AUTHORITY</td>
<td>68</td>
</tr>
<tr>
<td>2. MEXICO DOMICILED CARRIERS OPERATING IN THE U.S.</td>
<td>68</td>
</tr>
<tr>
<td>3. U.S. FEDERAL OUT-OF-SERVICE ORDERS</td>
<td>68</td>
</tr>
</tbody>
</table>
## STRATEGIC PLAN

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>MANUAL PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. CVSA MISSION, VISION AND VALUES</td>
<td>70</td>
</tr>
<tr>
<td>2. CVSA STRATEGIC GOALS</td>
<td>71</td>
</tr>
</tbody>
</table>

## APPENDIX

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>MANUAL PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>*1. NORTH AMERICAN STANDARD INSPECTION LEVELS</td>
<td>73</td>
</tr>
<tr>
<td>2. QUALIFYING FOR CVSA DECALS</td>
<td>75</td>
</tr>
<tr>
<td>*3. CRITICAL VEHICLE INSPECTION ITEMS</td>
<td>75</td>
</tr>
<tr>
<td>4. RAISED LIFT AXLE(S)</td>
<td>75</td>
</tr>
<tr>
<td>5. CVSA DECALS ON CARGO TANKS</td>
<td>76</td>
</tr>
<tr>
<td>*6. VEHICLE INSPECTIONS</td>
<td>76</td>
</tr>
<tr>
<td>7. VEHICLE RE-INSPECTIONS</td>
<td>77</td>
</tr>
<tr>
<td>8. LOCATION OF CVSA DECALS</td>
<td>77</td>
</tr>
<tr>
<td>9. APPLICATION OF CVSA DECALS</td>
<td>78</td>
</tr>
<tr>
<td>*10. INSPECTION BULLETINS</td>
<td>79</td>
</tr>
<tr>
<td>*11. INSPECTION GUIDANCE – FREQUENTLY ASKED QUESTIONS</td>
<td>80</td>
</tr>
</tbody>
</table>
Part I

NORTH AMERICAN STANDARD DRIVER
OUT-OF-SERVICE CRITERIA

POLICY STATEMENT

The purpose of this part is to identify violations that render the commercial motor vehicle operator unqualified to drive or out-of-service. The necessity for all enforcement personnel to implement and adhere to these standards is: (1) a matter of law; (2) perceived as necessary by the society we are charged with protecting, and (3) a professional obligation if substantial enhancement in the safety of commercial motor vehicle operators is to be achieved.

Except where state, provincial, territorial, or federal laws preclude enforcement of a named item, motor carrier safety enforcement personnel and their jurisdictions shall comply with these out-of-service violation standards.

OUT-OF-SERVICE VIOLATION: Violations under this category preclude further operation of a commercial motor vehicle by its driver for a specified period of time or for some violations until a required condition is met. An example of the former standard is hours of service violations.

FMCSR code references in the North American Standard Out-of-Service Criteria are simply recommendations to help inspectors find an appropriate citation. Other violation codes may be more suitable for a specific condition.
1. **DRIVER'S AGE**

   Is not at least 21 years of age. (391.11(b)(1), see exemptions 390.3(f) and 391.2) **Declare driver out-of-service.**

2. **OPERATOR'S/CHAUFFEUR'S LICENSE OR PERMIT (NON-CDL)**

   *a. Vehicle 26,000 lbs. or less GVWR not designed to transport 16 or more passengers or placarded loads of hazardous materials.

      (1) Is not licensed for the type of vehicle being operated. (391.11(b)(5)) **Declare driver out-of-service.**

   *(2) Operating a non-CDL required commercial motor vehicle with driving privileges revoked, suspended, cancelled, or otherwise disqualified. (391.15(a)) **Declare driver out-of-service.** (Out-of-service action to be initiated if a driver’s license is suspended in a jurisdiction for any safety-related or unknown reason.)

   b. **Endorsements and Restrictions**

      Operating a commercial vehicle without proper endorsement or in violation of restrictions. (391.11(b)(5)) **Declare driver out-of-service.**

      **NOTE:** Canadian operator’s license endorsements are included in the class. Transporting dangerous goods requires a training certificate. **Declare driver out-of-service if not in possession.**

      **NOTE:** Mexican drivers must have a Category E license to transport hazardous materials. All other endorsements are included in the class. **Declare driver out-of-service if not in possession.**

3. **CDL**

   *a. License

      (1) Does not possess a valid CDL issued by his/her state or jurisdiction of domicile. (383.23(a)(2)) **Declare driver out-of-service.** (Out-of-service action to be initiated only upon home jurisdiction license verification.)

      *(2) Operating a CDL required commercial motor vehicle with driving privileges revoked, suspended, cancelled, or otherwise disqualified. (383.51(a)) **Declare driver out-of-service.** (Out-of-service action to be initiated if a driver’s license is suspended in a jurisdiction for any safety-related or unknown reason.)

      **NOTE:** Canadian operators not possessing a valid Provincial or Territorial license of the correct class. **Declare driver out-of-service.**
NOTE: Mexican operators who do not possess a valid Licencia Federal. (Can be recognized by the medallion in the upper left hand corner containing the Mexican national symbol of an eagle with a serpent. The words Licencia Federal de Conductor and logo SCT are also on the front of the license.) Declare driver out-of-service.

b. Commercial Learner's Permit (CLP)
   
   (1) Is not accompanied by the holder of a valid CDL. (383.25(a)(1)) Declare driver out-of-service.
   
   (2) Does not hold a valid automobile drivers license or have a valid operator’s status allowed by licensing jurisdiction. (383.25(a)(2)) Declare driver out-of-service.
   
   (3) Operating a commercial motor vehicle transporting hazardous materials as defined in 383.5. (383.25(a)(6)) Declare driver out-of-service.

c. Endorsements and Restrictions

   Operating a commercial vehicle without proper endorsements or in violation of restrictions. (383.23(a)(2)) Declare driver out-of-service.

   NOTE: Canadian operator’s license endorsements are included in the class. Transporting dangerous goods requires a training certificate. Declare driver out-of-service if not in possession of training certificate.

   NOTE: Mexican drivers must have a Category E license to transport hazardous materials. All other endorsements are included in the class.

d. Classification

   Does not possess proper class of license for vehicle being operated. (383.91(a)) Declare driver out-of-service.

*4. DRIVER MEDICAL/PHYSiCAL REQUIREmENTS

a. Skill Performance Evaluation Certificate

   No skill performance evaluation in possession, when required. (391.49(j)) Declare driver out-of-service.

b. Medical Certificate

   (1) Operating a commercial vehicle without corrective lenses or hearing aid as indicated on the driver’s medical certificate. (391.11(b)(4)) Declare driver out-of-service.
(2) When an inspector has knowledge and/or evidence that a driver is/is not in possession of a valid medical certificate, and is not in possession of any and all required exemptions for the following conditions: vision, hearing, insulin-using diabetes, epilepsy or any other condition which is likely to cause loss of consciousness or any loss of ability to control a commercial motor vehicle. (391.41(a)(1)) Declare driver out-of-service.

(3) Operating a passenger-carrying vehicle without a valid medical certificate in possession. (391.41(a)) Declare driver out-of-service. _Inspection Bulletin 2010-07 – Enforcement of Medical Certificate on Passenger Carrying Vehicle Drivers_

*(4)* Operating a property-carrying vehicle without a valid medical certificate in possession. If the driver fails to produce a medical certificate or has an expired medical certificate and has a previous history of failing to produce a medical certificate, or having an expired medical certificate. (391.41(a)) Declare driver out-of-service. _Inspection Bulletin 2013-03 – Driver Possession of a Valid Medical Certificate_

*NOTE:* A CDL driver whose CDLIS query indicates no medical certification has been filed and who presents a valid medical certificate during an inspection shall not be placed out-of-service during the transition period.

*(5)* Operating a commercial motor vehicle with a fraudulent medical certificate. (390.35) Declare driver out-of-service. _Inspection Bulletin 2013-03 – Driver Possession of a Valid Medical Certificate_

*NOTE:* Canadian operators possessing a valid Provincial or Territorial license of the proper class includes a valid Medical Certificate.

*NOTE:* Mexican operators possessing a valid Licencia Federal de Conductor of the proper class includes a valid Medical Certificate.

5. **SICKNESS**

When so impaired that the driver should not continue the trip. (392.3) Declare driver out-of-service until no longer impaired.

6. **FATIGUE**

When so fatigued that the driver of a commercial motor vehicle should not continue the trip. (392.3) Declare driver out-of-service until no longer fatigued.

7. **COMMUNICATION**

In recognition of the three countries’ language differences, it is the responsibility of the driver and the motor carrier to be able to communicate in the country in which the driver/carer is operating so that safety is not compromised. Driver is unable to communicate sufficiently to understand and respond to official inquiries and directions. (391.11(b)(2)) Declare driver out-of-service.
8. **DRUGS AND OTHER SUBSTANCES; AS IDENTIFIED UNDER SECTION 392.4(a).**

   a. **Shall not be in possession**

   Is in possession. (392.4(a))

   Declare driver out-of-service for twenty-four (24) consecutive hours.

   b. **Shall not be under the influence**

   Is under the influence, with probable cause. (392.4(a))

   Declare driver out-of-service for twenty-four (24) consecutive hours.

9. **INTOXICATING BEVERAGES**

   Under the influence of intoxicating beverage, consumes an intoxicating beverage regardless of its alcohol content, or have any measured alcohol concentration or any detected presence of alcohol while on duty, or operating or in physical control of a motor vehicle. (Consumption - 392.5(a)(1) or Presence/Influence - 392.5(a)(2))

   Declare driver out-of-service for twenty-four (24) consecutive hours.

   Be on duty or operate a motor vehicle while the driver possesses an intoxicating beverage, regardless of its alcohol content. (Possession - 392.5(a)(3))

   Declare driver out-of-service for twenty-four (24) consecutive hours.

   Driver violating any roadside out-of-service order regarding intoxicating beverages. (392.5(c)(2))

   Declare driver out-of-service for twenty-four (24) consecutive hours.

   **NOTE:** The driver would not be declared out-of-service, if the driver has taken time off equivalent to the original out-of-service order.

10. **DRIVER'S RECORD OF DUTY STATUS – U.S.**

    a. **Property-Carrying Vehicles (395.3)**

    (1) **11 Hour Rule (See Footnotes 3, 4, 6, and 8)**

    Driving more than eleven (11) hours following ten (10) consecutive hours off duty. (395.3(a)(3)(i))

    Declare driver out-of-service until such time as eligibility to drive is re-established.

    (2) **14 Hour Rule (See Footnotes 3, 4, 5, 6, and 8)**

    Driving beyond the fourteenth (14) hour after coming on duty following ten (10) consecutive hours off duty. (395.3(a)(2))

    Declare driver out-of-service until such time as eligibility to drive is re-established.
(3) 60/70 Hour Rule (See Footnotes 3, 4, and 7)

Driving after being on duty more than 60 hours in seven (7) consecutive days or 70 hours in eight (8) consecutive days. (60 Hour Rule - 395.3(b)(1) or 70 Hour Rule - 395.3(b)(2)) Declare driver out-of-service until such time as eligibility to drive is re-established.

(4) No Record of Duty Status (Log Book)

No record of duty status in possession when one is required. (395.8(a)) Declare driver out-of-service for ten (10) consecutive hours.

(5) No Previous 7 Days (See Footnotes 2 and 10)

Failing to have in possession a record of duty status for the previous seven (7) consecutive days. (395.8(k)(2), see exception 395.13(b)(3)) Declare driver out-of-service for ten (10) consecutive hours.

(6) False Record of Duty Status (Log Book)

A required record of duty status that does not accurately reflect the driver's actual activities and duty status (including time and location of each duty status change and the time spent in each duty status) in an apparent attempt to conceal a violation of an hours of service limitation. (395.8(e)) Declare driver out-of-service for ten (10) consecutive hours.

b. Passenger-Carrying Vehicles (395.5)

(1) 10 Hour Rule (See Footnotes 3, 4, and 8)

Driving more than ten (10) hours following eight (8) consecutive hours off duty. (395.5(a)(1)) Declare driver out-of-service until such time as eligibility to drive is re-established.

(2) 15 Hour Rule (See Footnotes 3, 4, and 8)

Driving for any period after having been on duty fifteen (15) hours following eight (8) consecutive hours off duty. (395.5(a)(2)) Declare driver out-of-service until such time as eligibility to drive is re-established.

(3) 60/70 Hour Rule (See Footnotes 3 and 4)

Driving after being on duty more than 60 hours in seven (7) consecutive days or 70 hours in eight (8) consecutive days. (60 Hour Rule - 395.5(b)(1) or 70 Hour Rule - 395.5(b)(2)) Declare driver out-of-service until such time as eligibility to drive is re-established.
(4) **No Record of Duty Status (Log Book)**

No record of duty status in possession when one is required. (395.8(a))
Declare driver out-of-service for eight (8) consecutive hours.

(5) **No Previous 7 Days (See Footnotes 2 and 10)**

Failing to have in possession a record of duty status for the previous seven (7) consecutive days. (395.8(k)(2)), see exception 395.13(b)(3))
Declare driver out-of-service for eight (8) consecutive hours.

(6) **False Record of Duty Status (Log Book)**

A required record of duty status that does not accurately reflect the driver's actual activities and duty status (including time and location of each duty status change and the time spent in each duty status) in an apparent attempt to conceal a violation of an hours of service limitation. (395.8(e))
Declare driver out-of-service for eight (8) consecutive hours.

c. **Hours of Service Out-of-Service Order (See Footnote 9)**

Driver violating any roadside out-of-service order regarding hours of service. (395.13(d)(1))
Declare driver out-of-service for ten (10) consecutive hours.

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**Footnotes for driver’s record of duty status – U.S.**

1. Removed and reserved.

2. Exception (395.13(b)(3)). A driver failing only to have possession of a record of duty status current on the day of examination and the prior day, but has completed records of duty status up to that time (previous 6 days) will be given the opportunity to make the duty status record current.

3. Drivers must comply with the hours of service rules of the country (Canada, United States or Mexico) that the driver is operating (driving) in.

4. Drivers operating in the State of Alaska (395.1(h)).

   a. Property-Carrying CMV-15 hours driving time and 20 hours on duty time following 10 hours off duty. 70 hours in 7 consecutive days, and 80 hours in 8 consecutive days.

   b. Passenger-Carrying CMV-15 hours driving time and 20 hours on duty time following 8 hours off duty. 70 hours in 7 consecutive days, and 80 hours in 8 consecutive days.

5. Exception (395.1(o)). A property-carrying driver is allowed one (1) 16 hour on duty day within the current 7 or 8 consecutive day period provided the driver has returned to the driver’s normal work reporting location and the carrier released the driver from duty at that location for the previous five duty tours the driver has worked.
NOTE: The driver may have more than one 16 hour on duty day within the previous 7 or 8 day calendar period in circumstances when there is a valid 34 hour restart.

6. Exception (395.1(e)(2)). A Short Haul Property Carrying Driver, not requiring a CDL, and within a 150 air-mile radius is allowed two (2) 16 hour on duty days within any 7 consecutive day period provided the driver has returned to the driver’s normal work reporting location at the end of each duty tour. The motor carrier that employs the driver must maintain and retain accurate and true time records for 6 months. See 395.1(e)(2)(i-ix) for all provisions of the exception.

NOTE: Drivers taking advantage of this exception cannot use the provisions of 395.1(e)(1) [100 air-mile radius], (g) [Sleeper Berths] and (o) [one 16-hour duty tour].

7. 34 Hour Restart (395.3(c)(1) or (2)). Any period of 7 or 8 consecutive days may end with the beginning of any off duty period of 34 or more consecutive hours.

8. Travel Time (395.1(j)(1)&(2)). When a driver at the direction of the motor carrier is traveling, but has no direct responsibility to the carrier, the time is counted as on-duty time unless the driver is afforded at least (for property carrying vehicles) 10, or, (for passenger carrying vehicles) 8 consecutive hours off duty when arriving at the destination. In this case the driver is off duty for the entire period.

9. The driver would not be declared out-of-service, if the driver has taken time off equivalent to the original out-of-service order.

10. A driver who utilizes an electronic device other than those described in 395.15 shall not be declared out-of-service if the driver has the ability to print and sign previously completed record of duty status that comply with 395.8 upon demand.

*Inspection Bulletin 2012-05 – Automatic On-Board Recording Devices (AOBRDs)*
11. DRIVER’S RECORD OF DUTY STATUS – Canada

a. Driver Impairment

Driver’s faculties are impaired to the point where it is unsafe for the driver to drive, or driving would likely jeopardize safety. **Declare driver out-of-service for ten (10) consecutive hours.**

b. 13 Hour Rule (See Footnotes 1, 3, and 4)

(1) Driving more than thirteen (13) hours following eight consecutive hours off duty. **Declare driver out-of-service for eight (8) consecutive hours.**

(2) Driving more than thirteen (13) hours in a day. **Declare driver out-of-service for ten (10) consecutive hours.**

c. 14 Hour Rule (See Footnotes 1, 3, and 4)

(1) Driving for any period after having been on duty fourteen (14) hours following eight (8) consecutive hours off duty. **Declare driver out-of-service for eight (8) consecutive hours.**

(2) Driving for any period after having been on duty fourteen (14) hours in a day. **Declare driver out-of-service for ten (10) consecutive hours.**

d. 16 Hour Rule (See Footnotes 1 and 4)

Drive after sixteen (16) hours of elapsed time between mandatory periods of off-duty time. **Declare driver out-of-service for eight (8) consecutive hours.**

e. 70/120 Hour Rules (See Footnotes 1, 3, 4, and 5)

Driving after being on duty more than 70 hours in seven (7) consecutive days or 120 hours in fourteen (14) consecutive days. **Declare driver out-of-service until such time as eligibility to drive is re-established.**

f. 10 Hour Off Duty Rule (See Footnote 1)

Driver fails to take ten (10) hours off duty in a day. **Declare driver out-of-service until such time as eligibility to drive is re-established.**

g. 24 Hours Off (See Footnote 1)

Driver fails to take twenty-four (24) hours off duty in the previous fourteen (14) days. **Declare driver out-of-service for twenty-four (24) consecutive hours.**
h. **No Daily Log (See Footnote 2)**

The driver is unable or refuses to produce a daily log for the current trip, a copy of the daily logs for the previous fourteen consecutive days, or any supporting documents relevant to the current trip. Declare driver out-of-service for seventy-two (72) consecutive hours.

i. **False Log (See Footnote 1)**

A daily log that does not accurately reflect the driver's actual activities and duty status (including time and location of each duty status change and the time spent in each duty status) in an apparent attempt to conceal a violation of an hours of service limitation. Declare driver out-of-service for seventy-two (72) consecutive hours.

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**Footnotes for driver’s record of duty status – Canada**

1. **Sleeper Berth Operations**

   a. Drivers involved in sleeper berth operations (sleeper teams) declared out-of-service for “Hours of Service” violations may be replaced by a co-driver, if the co-driver has hours available to drive.

   b. A solo driver using a sleeper berth to obtain rest who exceeds the hours of service limitations shall be declared out-of-service until said driver has hours available to drive.

2. A driver failing only to have possession of a daily log current on the day of examination and/or the prior day, but has completed required daily logs up to that time will be given the opportunity to make the daily log current.

3. Drivers must comply with the hours of service rules of the country (Canada, United States or Mexico) that the driver is operating (driving) in.

4. Drivers operating north of the 60th parallel may not drive after accumulating 15 hours driving time, 18 hours on-duty time, 20 hours of elapsed time between mandatory periods of off duty time, 80 hours in 7 consecutive days or 120 hours in 14 days.

5. When applying the 120 hours in a 14 consecutive day period, drivers must take 24 consecutive hours off-duty prior to accumulating more than 70 hours on duty (prior to accumulating more than 80 hours on duty north of 60th parallel).
12. DRIVER’S RECORD OF DUTY STATUS – Mexico

a. **Daytime Working Day (6:00-20:00)**

Driving more than eight (8) hours in the daytime working day; it can also be increased up to three more hours during three non-consecutive days per week. *It is considered that the driver must not resume his/her work until the beginning of the following daytime working day. For each working day, the driver must have at least a half-hour break.*

b. **Daytime Working Week (6:00-20:00)**

Driving after being on duty more than 54 hours in the daytime working week during seven consecutive days, in which there were half-hour breaks during each working day. *It is considered that the driver must not resume his/her work until having at least 24 hours of rest.*

c. **Night Working Day (20:00-6:00)**

Driving more than seven (7) hours in the night working day; it can also be increased up to three more hours during three non-consecutive days per week. *It is considered that the driver must not resume his/her work until the beginning of the following night working day. For each working day, the driver must have at least a half-hour break.*

d. **Night Working Week (20:00-6:00)**

Driving after being on duty more than 48 hours in the night working week during seven consecutive days, in which there were half-hour breaks during each working day. *It is considered that the driver must not resume his/her work until having at least 24 hours of rest.*

e. **Mixed Working Day (periods between the daytime and night working days; 3 ½ hour maximum in the night working day)**

Driving more than seven and a half (7 ½) hours in the mixed working day; it can also be increased up to three more hours during three non-consecutive days per week. *It is considered that the driver must not resume his/her work until the beginning of the following mixed working day. For each working day, the driver must have at least a half-hour break.*

f. **Mixed Working Week (periods between the daytime and night working days; 3 ½ hour maximum per night working day)**

Driving after being on duty more than 51 hours in the night working week during seven consecutive days, in which there were half-hour breaks during each working day. *It is considered that the driver must not resume his/her work until having at least 24 hours of rest.*
g. **No Record of Duty Status (Log Book)**

No record of duty status in possession when one is required. **It is considered that the driver must not resume his/her work until the beginning of the following working day.**

h. **No Previous 7 Days**

Failing to have in possession a record of duty status for the previous seven (7) consecutive days. **It is considered that the driver must not resume his/her work until having at least 24 hours of rest.**

i. **False Record of Duty Status (Log Book)**

A required record of duty status that does not accurately reflect the driver’s actual activities and duty status (including time and location of each duty status change and the time spent in each duty status) in an apparent attempt to conceal a violation of an hours of service limitation. **It is considered that the driver must not resume his/her work until the beginning of the following working day or until having 24 hours of rest in the working week.**
Part II

NORTH AMERICAN STANDARD VEHICLE
OUT-OF-SERVICE CRITERIA

*POLICY STATEMENT

The purpose of this part is to identify Critical Vehicle Inspection Items and provide criteria for declaring vehicles out-of-service subsequent to a safety inspection.

Except where state, provincial, territorial, or federal laws preclude enforcement of a named item, motor carrier safety enforcement personnel and their jurisdictions shall comply with these out-of-service violation standards.

NOTE: Decal Qualification: Each vehicle (e.g., motorcoach, school bus, other bus, truck, truck tractor, semi-trailer, trailer, converter dollies, etc.) used singularly or in combination may qualify for a CVSA decal if it passes inspection, and a CVSA decal shall be applied. “Pass Inspection” means that during a North American Standard Level I or Level V Inspection no defects are found in the Critical Vehicle Inspection Items.

For the purpose of a CVSA decal issuance, if no violation is detected during a North American Standard Level I or Level V Inspection due to a hidden part, other than pushrod stroke measurements, of the listed Critical Vehicle Inspection Items, then a CVSA decal shall be applied. However, if more than 20 percent of pushrod travel, on exposed pushrods, cannot be measured, then a CVSA decal shall not be applied. If a brake measurement was not obtained due to a hidden component, then “NM” shall be documented for that wheel-end brake as well as being noted on the inspection report that it was not measured due to a hidden component. Brakes not measured will be considered compliant and still included in the 20 percent calculation. An inspector can still apply a CVSA decal even though his/her jurisdiction does not allow for the inspection of gaseous fuel systems.

The decal criteria applies only to the condition of the vehicle, not the driver. It is possible for a driver to be out-of-service and still have vehicle(s) qualify for a decal. If each vehicle, whether used singly or in a combination, passes inspection, a current CVSA decal shall be affixed and no other CVSA decals shall be visible.

OUT-OF-SERVICE: Authorized personnel shall declare “out-of-service” any motor vehicle which by reason of its mechanical condition or loading would be likely to cause an accident or breakdown. An “out-of-service vehicle” sticker shall be used to declare vehicles “out-of-service.” No motor carrier shall require nor shall any person operate, or any inspector release any commercial motor vehicle declared “out-of-service” until all repairs required by the “out-of-service notice” have been satisfactorily completed to where a violation no longer exists.

When a vehicle is declared out-of-service for a condition resulting from an accumulation of violations, all violations that contributed to the specific out-of-service condition must be repaired (e.g., a vehicle, or vehicles in combination declared out-of-service for 20 percent defective brake violations must have all the 20 percent defective brake violations repaired prior to being released; or, a vehicle declared out-of-service for two tires at less than 1/32 inch (0.8 mm) tread depth must have both tire violations repaired prior to the vehicle being released, etc.). Once all of the contributing out-of-service violations have been repaired on any vehicle in a combination, that specific vehicle in the combination is no longer considered to be out-of-service.
An out-of-service condition cannot be corrected by creating a new violation (i.e., if a vehicle is declared out-of-service for three missing wheel fasteners on one wheel, wheel fasteners from other wheels cannot be removed to correct this out-of-service condition, etc.).

When vehicles in combination are declared out-of-service for 20 percent defective brake violations, any vehicle within the combination that does not contain a brake violation that contributed to the 20 percent defective brake out-of-service condition is allowed to proceed providing it does not contain any other out-of-service conditions.

No person shall remove the “out-of-service vehicle” sticker from any motor vehicle prior to completion of all repairs required by the “out-of-service notice.”

Violations, other than out-of-service conditions, detected during the inspection process will not preclude the completion of the current trip or dispatch. However, such violations must be corrected or repaired prior to re-dispatch.

A Critical Vehicle Inspection Item violation(s) (OOS or otherwise) noted during a CVSA Level I inspection that is successfully repaired on-site and re-inspected by the same inspector at the same inspection location will qualify for a CVSA decal as long as all previously noted Critical Vehicle Inspection Item violation(s) have been properly repaired. In such instances, only a re-inspection of the repaired violation(s) shall be done with decal(s) being applied to the vehicle(s) and properly noted upon the original inspection.

Any vehicle that is repaired off-site or inspected by a different inspector shall be required to have a complete inspection conducted in order to obtain a CVSA decal.

These criteria are neither suited nor intended to serve as vehicle maintenance or performance standards.

FMCSR code references in the North American Standard Out-of-Service Criteria are simply recommendations to help inspectors find an appropriate citation. Other violation codes may be more suitable for a specific condition.
1. BRAKE SYSTEMS

*a. Defective Brakes

The number of defective brakes is equal to or greater than 20 percent of the service brakes on the vehicle or combination. A defective brake includes any brake that meets one of the following conditions. (396.3(a)(1))

NOTE: Steering axle brakes under “Front Steering Axle(s) Brakes”, are to be included in the 20 percent criterion.

Defective Brake Chart (below) shall be used in determining when a vehicle/combination is to be declared out-of-service.

<table>
<thead>
<tr>
<th>Total Number of Brakes Required to be on a Vehicle Combination</th>
<th>Total Number of Defective Brakes Necessary to Declare the Vehicle or Combination Out-of-Service</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>6</td>
<td>2</td>
</tr>
<tr>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>10</td>
<td>2</td>
</tr>
<tr>
<td>12</td>
<td>3</td>
</tr>
<tr>
<td>14</td>
<td>3</td>
</tr>
<tr>
<td>16</td>
<td>4</td>
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<td>18</td>
<td>4</td>
</tr>
<tr>
<td>20</td>
<td>4</td>
</tr>
<tr>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>**</td>
<td>**</td>
</tr>
</tbody>
</table>

** Vehicle Combination with More Than 22 Brakes – Total Number of Defective Brakes Necessary to Declare the Vehicle Combination Out-of-Service.

Determine the number of defective brakes required by using 20 percent of the total number of brakes on the vehicle or combination (e.g., 24 x 0.2 = 4.8 brakes). Round all fractions up to the next whole number (e.g., 4.8 brakes = 5 required defective brakes).
(1) Absence of effective braking action upon application of the service brakes (such as any brake lining/pad failing to move or contact braking surface upon application). (393.48(a))


(2) Audible Air Leak at Air Chamber. (Example: ruptured diaphragm, loose chamber clamp, etc.) (396.3(a)(1))

**NOTE:** Refer to “Air Loss Rate”.

(3) Missing brake on any axle required to have brakes. (393.42(a))

(4) Brake Adjustment Limits. Bring reservoir pressure between 90 – 100 psi (620 – 690 kPa), turn engine off and then fully apply the brakes. All brake measurements shall be made in 1/8 inch (3.2 mm) increments.

(a) One brake at 1/4 inch (6.4 mm) or more beyond the adjustment limit. (Example: Type 30 clamp type air chamber pushrod measured at 2-1/4 inches (57.2 mm) would be one defective brake.) (393.47(e))

(b) A brake found at 1/8 inch (3.2 mm) beyond the brake adjustment limit shall be considered 0.5 (1/2) a defective brake for determining the number of defective brakes per the 20 percent defective brake criterion. (Example: Type 30 clamp type brake chamber pushrods measure – Two (2) at 2-1/8 inches (54.0 mm) equal 1 defective brake.) (393.47(e))

**NOTE:** When the vehicle, or combination of vehicles, is declared out-of-service for 20 percent brake violations, all brakes found beyond the brake adjustment limit must be repaired.

**NOTE:** When calculating/determining the number of defective brakes, round all fractions down to the next whole number (e.g., 4.5 brake violations = 4 defective brakes).

(c) Any wedge brake where the combined brake lining movement of both top and bottom shoes exceeds 1/8 inch (3.2 mm). (393.47(f))

Brake Adjustment: Shall not exceed those specifications contained hereunder relating to “Brake Adjustment Limit”. (Dimensions are in inches/millimeters.)

### CLAMP TYPE BRAKE CHAMBER DATA

<table>
<thead>
<tr>
<th>Type</th>
<th>Outside Diameter</th>
<th>Brake Adjustment Limit</th>
<th>Half Defect Limit</th>
<th>Full Defect Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>6</td>
<td>4 1/2 (114 mm)</td>
<td>1 1/4 (31.8 mm)</td>
<td>1 3/8 (34.9 mm)</td>
<td>1 1/2 (38.1 mm)</td>
</tr>
<tr>
<td>9</td>
<td>5 1/4 (133 mm)</td>
<td>1 3/8 (34.9 mm)</td>
<td>1 1/2 (38.1 mm)</td>
<td>1 5/8 (41.3 mm)</td>
</tr>
<tr>
<td>12</td>
<td>5 11/16 (145 mm)</td>
<td>1 3/8 (34.9 mm)</td>
<td>1 1/2 (38.1 mm)</td>
<td>1 5/8 (41.3 mm)</td>
</tr>
<tr>
<td>16</td>
<td>6 3/8 (162 mm)</td>
<td>1 3/4 (44.5 mm)</td>
<td>1 7/8 (47.6 mm)</td>
<td>2 (50.8 mm)</td>
</tr>
<tr>
<td>20</td>
<td>6 25/32 (172 mm)</td>
<td>1 3/4 (44.5 mm)</td>
<td>1 7/8 (47.6 mm)</td>
<td>2 (50.8 mm)</td>
</tr>
<tr>
<td>24</td>
<td>7 7/32 (184 mm)</td>
<td>1 3/4 (44.5 mm)</td>
<td>1 7/8 (47.6 mm)</td>
<td>2 (50.8 mm)</td>
</tr>
<tr>
<td>30</td>
<td>8 3/32 (206 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
</tr>
<tr>
<td>36</td>
<td>9 (229 mm)</td>
<td>2 1/4 (57.2 mm)</td>
<td>2 3/8 (60.3 mm)</td>
<td>2 1/2 (63.5 mm)</td>
</tr>
</tbody>
</table>

**NOTE:** Service chambers with housings that are permanently crimped and sealed together are considered clamp type chambers even though they do not have a separate clamp band.

**NOTE:** A brake found at the adjustment limit is not a defect for the purposes of the 20 percent rule.

### LONG STROKE’ CLAMP TYPE BRAKE CHAMBER DATA

<table>
<thead>
<tr>
<th>Type</th>
<th>Outside Diameter</th>
<th>Brake Adjustment Limit</th>
<th>Half Defect Limit</th>
<th>Full Defect Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>12</td>
<td>5 11/16 (145 mm)</td>
<td>1 3/4 (44.5 mm)</td>
<td>1 7/8 (47.6 mm)</td>
<td>2 (50.8 mm)</td>
</tr>
<tr>
<td>16</td>
<td>6 3/8 (162 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
</tr>
<tr>
<td>20</td>
<td>6 25/32 (172 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
</tr>
<tr>
<td>24</td>
<td>7 7/32 (184 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
</tr>
<tr>
<td>30</td>
<td>8 3/32 (206 mm)</td>
<td>2 1/2 (63.5 mm)</td>
<td>2 5/8 (66.7 mm)</td>
<td>2 3/4 (69.9 mm)</td>
</tr>
</tbody>
</table>

**NOTE:** Rated stroke is indicated on a tag and is only used to identify chamber size.

**NOTE:** Service chambers with housings that are permanently crimped and sealed together are considered clamp type chambers even though they do not have a separate clamp band.

**NOTE:** A brake found at the adjustment limit is not a defect for the purposes of the 20 percent rule.
### BOLT TYPE BRAKE CHAMBER DATA

<table>
<thead>
<tr>
<th>Type</th>
<th>Outside Diameter</th>
<th>Brake Adjustment Limit</th>
<th>Half Defect Limit</th>
<th>Full Defect Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>6 15/16 (176 mm)</td>
<td>1 3/8 (34.9 mm)</td>
<td>1 1/2 (38.1 mm)</td>
<td>1 5/8 (41.3 mm)</td>
</tr>
<tr>
<td>B</td>
<td>9 3/16 (234 mm)</td>
<td>1 3/4 (44.5 mm)</td>
<td>1 7/8 (47.6 mm)</td>
<td>2 (50.8 mm)</td>
</tr>
<tr>
<td>C</td>
<td>8 1/16 (205 mm)</td>
<td>1 3/4 (44.5 mm)</td>
<td>1 7/8 (47.6 mm)</td>
<td>2 (50.8 mm)</td>
</tr>
<tr>
<td>D</td>
<td>5 1/4 (133 mm)</td>
<td>1 1/4 (31.8 mm)</td>
<td>1 3/8 (34.9 mm)</td>
<td>1 1/2 (38.1 mm)</td>
</tr>
<tr>
<td>E</td>
<td>6 3/16 (157 mm)</td>
<td>1 3/8 (34.9 mm)</td>
<td>1 1/2 (38.1 mm)</td>
<td>1 5/8 (41.3 mm)</td>
</tr>
<tr>
<td>F</td>
<td>11 (279 mm)</td>
<td>2 1/4 (57.2 mm)</td>
<td>2 3/8 (60.3 mm)</td>
<td>2 1/2 (63.5 mm)</td>
</tr>
<tr>
<td>G</td>
<td>9 7/8 (251 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
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</table>

**NOTE:** A brake found at the adjustment limit is not a defect for the purposes of the 20 percent rule.

### ROTOCHAMBER DATA

<table>
<thead>
<tr>
<th>Type</th>
<th>Outside Diameter</th>
<th>Brake Adjustment Limit</th>
<th>Half Defect Limit</th>
<th>Full Defect Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>4 9/32 (109 mm)</td>
<td>1 1/2 (38.1 mm)</td>
<td>1 5/8 (41.3 mm)</td>
<td>1 3/4 (44.5 mm)</td>
</tr>
<tr>
<td>12</td>
<td>4 13/16 (122 mm)</td>
<td>1 1/2 (38.1 mm)</td>
<td>1 5/8 (41.3 mm)</td>
<td>1 3/4 (44.5 mm)</td>
</tr>
<tr>
<td>16</td>
<td>5 13/32 (138 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
</tr>
<tr>
<td>20</td>
<td>5 15/16 (151 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
</tr>
<tr>
<td>24</td>
<td>6 13/32 (163 mm)</td>
<td>2 (50.8 mm)</td>
<td>2 1/8 (54.0 mm)</td>
<td>2 1/4 (57.2 mm)</td>
</tr>
<tr>
<td>30</td>
<td>7 1/16 (180 mm)</td>
<td>2 1/4 (57.2 mm)</td>
<td>2 3/8 (60.3 mm)</td>
<td>2 1/2 (63.5 mm)</td>
</tr>
<tr>
<td>36</td>
<td>7 5/8 (194 mm)</td>
<td>2 3/4 (69.9 mm)</td>
<td>2 7/8 (73.0 mm)</td>
<td>3 (76.2 mm)</td>
</tr>
<tr>
<td>50</td>
<td>8 7/8 (226 mm)</td>
<td>3 (76.2 mm)</td>
<td>3 1/8 (79.4 mm)</td>
<td>3 1/4 (82.6 mm)</td>
</tr>
</tbody>
</table>

**NOTE:** A brake found at the adjustment limit is not a defect for the purposes of the 20 percent rule.

### DD-3 BRAKE CHAMBER DATA

<table>
<thead>
<tr>
<th>Type</th>
<th>Outside Diameter</th>
<th>Brake Adjustment Limit</th>
<th>Half Defect Limit</th>
<th>Full Defect Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>30</td>
<td>8 1/8 (206 mm)</td>
<td>2 1/4 (57.2 mm)</td>
<td>2 3/8 (60.3 mm)</td>
<td>2 1/2 (63.5 mm)</td>
</tr>
</tbody>
</table>

**NOTE:** This chamber has three air lines and is found on motorcoaches.  
**NOTE:** A brake found at the adjustment limit is not a defect for the purposes of the 20 percent rule.

### WEDGE BRAKE DATA

The combined movement of both brake shoe lining scribe marks shall not exceed 1/8 inch (3.2 mm).
*(5)  Drum (Cam-Type and Wedge) Air Brakes

*(a)  Missing or broken brake shoe, lining, return spring (shoe or chamber), anchor pin, spider, cam roller, camshaft, pushrod, yoke, clevis pin, clevis pin retainer (e.g., cotter pin), brake adjuster, parking brake power spring, or air chamber mounting bolt.

(393.48(a))

*Inspection Bulletin 2006-01 – Camshaft Bushings

(b)  Loose air chamber, spider, or camshaft support bracket.

(393.48(a))

*(c)  Defective Lining Conditions

i.  Lining cracks or voids that exceed 1/16 inch (1.6 mm) in width observable on the edge of the lining. (393.47(a))

ii.  Portion of a lining segment missing such that a fastening device (rivet or bolt) is exposed when viewing the lining from the edge. (393.47(a))

iii.  Crack that exceeds 1-1/2 inch (38.1 mm) in length. (393.47(a))

iv.  Loose lining segment. (Approximately 1/16 inch (1.6 mm) or more movement.) (393.47(a))

v.  Complete lining segment missing. (393.47(a))

vi.  The friction surface of the brake drum and the brake friction material are contaminated by oil or grease. (393.47(a))

NOTE: Refer to “Wheels, Rims and Hubs” if wheel seal is actively leaking.

vii.  Lining thickness less than 1/4 inch (6.4 mm) or worn into the wear indicator if lining is so marked, measured at the shoe center. (393.47(d)(2))


![Cracks or voids that exceed 1/16” in width.](image1)

![Cracks that exceed 1-1/2” in length.](image2)

![Portion of lining missing that exposes a fastening device.](image3)
*(6) Air Disc Brakes (Exposed Pushrods and Direct Coupled – Air Chamber to Caliper)

*(a) Missing or broken caliper, brake pad, pad retaining component, pushrod, yoke, clevis pin, clevis pin retainer (e.g., cotter pin), brake adjuster, parking brake power spring, chamber return spring, or air chamber mounting bolt. (393.48(a))

(b) Loose or missing brake chamber or caliper mounting bolt. (393.48(a))

(c) Rotor has evidence of metal to metal contact on the friction surface. (393.47(d)(2))

(d) Rotor has severe rusting on the rotor friction surface on either side (light rusting on the friction surface is normal). (393.48(a))

(e) The friction surface of the brake rotor and the brake friction material are contaminated by oil or grease. (393.47(a))

NOTE: Refer to “Wheels, Rims and Hubs” if wheel seal is actively leaking.

(f) Brake pad thickness less than 1/16 inch (1.6 mm) or to wear indicator if pad is so marked. (393.47(d)(2))
*(7) Hydraulic and Electric Brakes

*(a) Missing or broken caliper, pad retaining component, brake pad, shoe, or lining. (393.48(a))

(b) Movement of the caliper within the anchor plate, in the direction of wheel rotation, exceeds 1/8 inches (3.2 mm). (393.48(a))

(c) Rotor or drum has evidence of metal to metal contact on the friction surface. (393.47(d)(2))

(d) Rotor has severe rusting on the rotor friction surface on either side (light rusting on the friction surface is normal). (393.48(a))

(e) Friction surface of the brake rotor and the brake friction material are contaminated by oil, grease, or brake fluid. (393.47(a))

**NOTE:** Refer to “Wheels, Rims and Hubs” if wheel seal is actively leaking.

(f) Lining or pad with a thickness 1/16 inch (1.6 mm) or less for disc or drum brakes. (393.47(d)(2))
b. **Front Steering Axle(s) Brakes**

In addition to being included in the 20 percent criterion, the following place a vehicle in an out-of-service condition:

1. Any inoperative brake (such as any brake lining/pad failing to move or contact braking surface upon application) or missing brake on either wheel of any steering axle of any vehicle equipped or required to be equipped with steering axle brakes, including the dolly and front axle of a full trailer. This includes tractors required to have steering axle brakes. (Missing - 393.42(a) or Inoperative - 393.48(a))

2. **Drum (Cam-Type and Wedge) Air Brakes – (Front Steering Brakes)**
   
   a. Mismatched air chamber sizes. (393.47(b))
      
      **NOTE:** Mismatched air chamber size excludes long stroke air chamber versus regular stroke air chamber and excludes differences in design type such as type 20 clamp versus type 20 rotochamber. A bolt chamber with any other chamber type is a mismatch.

   b. Mismatched brake adjuster length. (393.47(c))

   c. **Defective Lining Conditions**
      
      i. Lining cracks or voids that exceed 1/16 inch (1.6 mm) in width observable on the edge of the lining. (393.47(a))
      
      ii. Portion of a lining segment missing such that a fastening device (rivet or bolt) is exposed when viewing the lining from the edge. (393.47(a))
      
      iii. Crack that exceeds 1-1/2 inch (38.1 mm) in length. (393.47(a))
      
      iv. Loose lining segment. (Approximately 1/16 inch (1.6 mm) or more movement.) (393.47(a))
      
      v. Complete lining segment missing. (393.47(a))
      
      vi. The friction surface of the brake drum and the brake friction material are contaminated by oil or grease. (393.47(a))
      
      **NOTE:** Refer to “Wheels, Rims and Hubs” if wheel seal is actively leaking.

      vii. Lining with a thickness less than 3/16 inch (4.8 mm) for a shoe with a continuous strip of lining or 1/4 inch (6.4 mm) for a shoe with two lining blocks for drum brakes or worn into the wear indicator if lining is so marked. (393.47(d)(1))

**Inspection Bulletin 2007-01 – Express Brake International, Inc. – Segmented Brake Linings**
(3) Air Disc Brakes (Exposed Pushrods and Direct Coupled – Air Chamber to Caliper) – (Front Steering Axle)

(a) Mismatched air chamber sizes. (393.47(b))

NOTE: Mismatched air chamber size excludes long stroke air chamber versus regular stroke air chamber. A mismatch on an air disc brake exists only when there is measurable difference in air chamber clamp sizes.

(b) Mismatched brake adjuster length. (393.47(c))

(c) Missing brake pad. (393.47(a))

(d) Rotor has evidence of metal to metal contact on the friction surface. (393.47(d)(1))

(e) Rotor has severe rusting on the rotor friction surface on either side (light rusting on the friction surface is normal). (393.48(a))

(f) The friction surface of the brake rotor and the brake friction material are contaminated by oil or grease. (393.47(a))

NOTE: Refer to “Wheels, Rims and Hubs” if wheel seal is actively leaking.

(g) Brake pad thickness less than 1/16 inch (1.6 mm) or to wear indicator if lining is so marked. (393.47(d)(1))

(4) Hydraulic Brakes – (Front Steering Brakes)

(a) Missing lining or pad. (393.47(a))

(b) Movement of the caliper within the anchor plate, in the direction of wheel rotation, exceeds 1/8 inches (3.2 mm). (393.48(a))

(c) Rotor has evidence of metal to metal contact on the friction surface. (393.47(d)(1))

(d) Rotor has severe rusting on the rotor friction surface on either side (light rusting on the friction surface is normal). (393.48(a))

(e) The friction surface of the brake drum or rotor and the brake friction material are contaminated by oil, grease, or brake fluid. (393.47(a))

NOTE: Refer to “Wheels, Rims and Hubs” if wheel seal is actively leaking.

(f) Pad with a thickness 1/16 inch (1.6 mm) or less for disc brakes. (393.47(d)(1))
c. **Spring Brake Chambers**

Any non-manufactured holes or cracks in the spring brake housing section of a parking brake. (396.3(a)(1))


d. **Trailer Breakaway and Emergency Braking**

(1) Missing or inoperative breakaway braking system on a trailer or converter dolly. (393.43(d))

(2) A breakaway system not directly attached to the towing vehicle. (393.43(d))

(3) On any trailer equipped with spring brakes; more than 25 percent of the spring brakes are inoperative. (393.43(d))

e. **Parking Brake**

No brakes on the vehicle or combination are applied upon actuation of the parking brake control, including driveline hand controlled parking brakes. (393.41)

f. **Brake Smoke/Fire**

Brake malfunction causing smoke or fire to emit from the wheel end. (393.48(a))

Example: Brake lining continuously in contact with brake drum or rotor.

**NOTE:** This does not include overheating due to severe brake use.

**NOTE:** Refer to “Wheels, Rims and Hubs”; as the cause may either be the brakes or a problem in the hub and bearing area.

g. **Brake Drums or Rotors (Discs)**

(1) **Any portion of the drum has any external crack, or has any crack that opens upon brake application.** (393.47(a))

(2) **Any rotor (disc) with a crack in length of more than 75 percent of the friction surface and passes completely through the rotor to the center vent from either side or completely through a solid rotor.** (393.47(a))

(3) A rotor surface is worn to or through center vents. (393.47(g))

(4) Any portion of the drum or rotor (discs) missing or in danger of falling away. (393.47(a))

**NOTE:** Do not confuse short hairline heat check cracks with flexural cracks.
h. **Brake Hose/Tubing**

(1) Any damage extending through the outer reinforcement ply. (393.45(a))

**NOTE:** Rubber impregnated fabric cover is not a reinforcement ply.

**NOTE:** Thermoplastic nylon tube may have braid reinforcement or color difference between cover and inner tube. Exposure of second color is an out-of-service condition.

(2) Bulge/swelling when air pressure is applied. (393.45(a))

(3) Audible air leak at other than a proper connection. (393.45(a))

*Inspection Bulletin 2010-05 – MCI Buses with Detroit Diesel Engines*

**Inspection Guidance – Interpretation #4**

(4) Improperly joined such as a splice made by sliding the hose ends over a piece of tubing and clamping the hose to the tube. (393.45(a))

(5) Damaged by heat, broken, or crimped in such a manner as to restrict air flow. (393.45(a))

i. **Air Pressure Gauge**

Inoperative or defective primary or secondary air pressure gauge. (393.51(c))

j. **Low Air Pressure Warning Device**

Low air pressure warning device missing, inoperative, or does not operate continuously if either the primary or secondary reservoir is 55 psi (379 kPa) and below, or 1/2 of the governor cut-out pressure, whichever is less. (393.51(c))

**NOTE:** If either an audible or visual warning device is working as required, vehicle should not be declared out-of-service.

*k. **Air Loss Rate**

If an air leak is discovered and either the primary or secondary reservoir pressure is not maintained when: (396.3(a)(1))

(1) Governor is cut-in;
(2) Reservoir pressure is between 80 – 90 psi (551 – 620 kPa);
(3) Engine is at idle; and,

*(4)* Service brakes are either fully applied or released.
I. Tractor Protection System

Inoperable or missing tractor protection system components including a tractor protection valve and/or trailer supply valve. (393.43(b))

NOTE: An inoperative tractor protection system is defined as one of the following conditions:

1. The trailer supply valve fails to close before pressure drops below 20 psi (138 kPa) in either the primary or secondary system.

2. When air escapes from either glad hand when brakes are applied after the tractor protection valve has closed.

**Inspection Bulletin 2010-01 – Tractor Protection Systems**

m. Air Reservoir (Tank)

An air reservoir (tank) separated at either end from the attachment point(s) allowing movement of more than 1 inch (25.4 mm) in any direction. (396.3(a)(1))

n. Air Compressor

(Normally to be inspected when readily visible or when conditions indicate compressor problems.)

(1) Loose compressor mounting bolts. (396.3(a)(1))

(2) Cracked, broken, or loose pulley. (396.3(a)(1))

(3) Cracked or broken mounting brackets, braces, or adapters. (396.3(a)(1))

o. Hydraulic Brakes

(1) The fluid level in any master cylinder reservoir is less than ¼ full or below minimum marking. (396.3(a)(1))

    NOTE: Normally to be inspected when readily visible or problems are apparent.

(2) Hydraulic or vacuum lines, hoses, or connections are restricted, crimped, broken or damaged through the outer reinforcement ply. (Restricted/Crimped/Broken - 393.45(a) or Damaged - (393.45(b)(2))

    NOTE: Rubber impregnated fabric cover is not a reinforcement ply.

(3) Any observable seepage, bulge or swelling on a brake hose under application pressure. (393.45(a))

(4) Any observable leaking hydraulic fluid in the brake system upon full application. (393.45(a))
(5) No pedal travel reserve with engine running upon full brake application. (393.40(b))

(6) Brake power assist unit is inoperative. (396.3(a)(1))

(7) Hydraulic power brake (HPB) unit is inoperative. (396.3(a)(1))

(8) Brake failure warning system is missing, inoperative, disconnected, defective or activated while the engine is running with or without brake application. (393.51(b))

(9) The hydraulic brake backup system is inoperative. (396.3(a)(1))

_Inspection Bulletin 2012-04 – Hydraulic Brake System Inspection Procedures_

p. **Vacuum Brakes**

(1) Insufficient vacuum reserve to permit one full brake application after engine is shut off. (393.50(b))

(2) Vacuum hose(s) or line(s) restricted, abraded (chafed) through outer cover-to-cord ply, crimped, cracked, broken, or has collapse of vacuum hose(s) when vacuum is applied. (393.45(b)(2))

q. **Performance-Based Brake Test (PBBT)**

Failing to develop a total brake force as a percentage of gross vehicle or combination weight of 43.5 or more on an approved PBBT. (393.52(a))

**NOTE:** The out-of-service notice will be satisfactorily completed: (1) If an approved PBBT is available, the vehicle shall be retested on an approved PBBT and achieve a total brake force as a percentage of gross vehicle or combination weight of 43.5 or more; or (2) If an approved PBBT is unavailable, each of the brake fault areas identified on the inspection report shall be inspected and repaired.

**NOTE:** In the United States, an approved PBBT must meet the FMCSA functional specifications 65 FR 48799, August 9, 2000.

**2. CARGO SECUREMENT**

a. Part(s) of a vehicle or condition of loading such that the spare tire or any part of the load, cargo or dunnage can fall onto the roadway. (Vehicle Components/Dunnage - 392.9(a)(2) or General Cargo - 393.100(b))

b. Articles of cargo that are likely to roll are not restrained by chocks, wedges, a cradle or other equivalent means to prevent rolling. (393.106(c)(1))
c. Articles of cargo placed beside each other and secured by transverse tiedowns are not in direct contact with each other and are not prevented from shifting towards each other while in transit. (393.106(c)(2))

d. When the aggregate working load limit of the securement devices being used is less than ½ the weight of the cargo being secured. (393.106(d))

**NOTE:** Equivalent means of securement (e.g., vehicle structures, dunnage, dunnage bags, shoring bars, etc.) may be used to comply; not all cargo must be “tied down” with chains, webbing, wire rope, cordage, etc.

e. Articles of cargo not blocked or positioned to prevent movement in the forward direction by a headerboard, bulkhead, other cargo that is positioned to prevent movement, or other appropriate blocking devices, is not secured by at least:

| (1) | One tiedown for articles 5 feet (1.52 m) or less in length, and 1,100 pounds (500 kg) or less in weight. (393.110(b)(1)) |
| (2) | Two tiedowns if the article is: |
| (a) | 5 feet (1.52 m) or less in length and more than 1,100 pounds (500 kg) in weight; or, (393.110(b)(2)(i)) |
| (b) | Longer than 5 feet (1.52 m) but less than or equal to 10 feet (3.04 m) in length, irrespective of the weight. (393.110(b)(2)(ii)) |
| (3) | Two tiedowns if the article is longer than 10 feet (3.04 m) and one additional tiedown for every 10 feet (3.04 m) of article length, or fraction thereof, beyond the first 10 feet (3.04 m) of length. (393.110(b)(3)) |

**NOTE:** Tiedowns shall be positioned as follows:

i. Tiedowns spaced 10 feet (3.04 m) apart along the length of the vehicle; or,

ii. A tiedown in every 10 foot (3.04 m) segment of the cargo; or,

iii. To accommodate anchor points or cargo damage considerations, tiedowns may be spaced or grouped at lengths greater or less than 10 feet (3.04 m).

f. Article(s) of cargo that is blocked, braced or immobilized to prevent movement in the forward direction by a headerboard, bulkhead, other articles which are adequately secured or by an appropriate blocking or immobilization method, is not secured by at least one tiedown for every 10 feet (3.04 m) of article length, or fraction thereof. (393.110(c))
NOTE: Tiedowns shall be positioned as follows:

i. Tiedowns spaced 10 feet (3.04 m) apart along the length of the vehicle; or,
ii. A tiedown in every 10 foot (3.04 m) segment of the cargo; or,
iii. To accommodate anchor points or cargo damage considerations, tiedowns may be spaced or grouped at lengths greater or less than 10 feet (3.04 m).

g. **Logs**

Not secured per the commodity specific securement requirements. (393.116)

h. **Dressed Lumber or Similar Building Products**

Not secured per the commodity specific securement requirements. (393.118)

i. **Metal Coils**

Not secured per the commodity specific securement requirements. (393.120)

j. **Paper Rolls**

Not secured per the commodity specific securement requirements. (393.122)

k. **Concrete Pipe**

Not secured per the commodity specific securement requirements. (393.124)

l. **Intermodal Containers**

Not secured per the commodity specific securement requirements. (393.126)

*Inspection Bulletin 2011-03 – Securement of an Intermodal Container on an Intermodal Chassis*

m. **Automobiles, Light Trucks and Vans**

Not secured per the commodity specific securement requirements. (393.128)

n. **Heavy Vehicles, Equipment and Machinery**

Not secured per the commodity specific securement requirements. (393.130)

*Inspection Guidance – Interpretation #3*

o. **Flattened or Crushed Vehicles**

Not secured per the commodity specific securement requirements. (393.132)

p. **Roll-on/Roll-off or Hook Lift Containers**

Not secured per the commodity specific securement requirements. (393.134)
q. Large Boulders

Not secured per the commodity specific securement requirements. (393.136)

*Inspection Guidance – Interpretation #6*

A tiedown or anchor point that is found to have a defect in the load bearing portion of the tiedown as outlined in the “Tiedown Defect Table” will not be considered when determining the weight and/or length requirements.

Individual tiedowns being used to secure cargo found in conditions outlined in the table are not out-of-service, only violations. If these tiedowns are required to meet the requirements for length and/or weight, the out-of-service condition(s) will be recorded under the applicable weight and/or length and/or the specific commodity. (393.104)
<table>
<thead>
<tr>
<th>Chain</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>• Loose chain.</td>
<td></td>
</tr>
<tr>
<td>• Contains nicks, gouges, abrasions or broken, cracked, twisted, bent, knotted, or stretched links.</td>
<td></td>
</tr>
<tr>
<td>• Excessive wear causing a 20 percent or more reduction in original material thickness.</td>
<td></td>
</tr>
<tr>
<td>• Any weld(s) on chain, to repair broken/damaged links or to join links.</td>
<td></td>
</tr>
<tr>
<td><strong>NOTE:</strong> Repairs. Links of the clevis variety, having strength equal to or greater than the nominal chain are acceptable.</td>
<td></td>
</tr>
<tr>
<td>• Chain is damaged as a result of missing edge protection.</td>
<td></td>
</tr>
</tbody>
</table>
**TIEDOWN DEFECT TABLE**

<table>
<thead>
<tr>
<th>Wire Rope</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Loose wire rope.</td>
</tr>
<tr>
<td>• Kinks, bird caging, popped core, or knots in the working section of the wire rope.</td>
</tr>
<tr>
<td><img src="image" alt="Wire Rope Defects" /></td>
</tr>
<tr>
<td>• Discoloration from excessive heat or electric arc in the eye or main body of the wire rope.</td>
</tr>
<tr>
<td>• Corrosion with pitting of the external or internal wires.</td>
</tr>
<tr>
<td>• More than 11 broken wires in 6 diameters of length. For example: with 1/2 inch (13 mm) wire rope, over 11 broken wires in (6 x 1/2) or 3 inches in length (6 x 13 = 78 mm).</td>
</tr>
<tr>
<td><img src="image" alt="Corrosion and Discoloration" /></td>
</tr>
<tr>
<td>• More than three broken wires in any one strand.</td>
</tr>
<tr>
<td><img src="image" alt="Broken Wires" /></td>
</tr>
<tr>
<td>• More than two broken wires at the end connection or fitting.</td>
</tr>
<tr>
<td><strong>NOTE:</strong> Repairs. Wire rope used in tiedown assemblies shall not be repaired or spliced. (Eye splices and back splices are acceptable.)</td>
</tr>
<tr>
<td><img src="image" alt="Splices" /></td>
</tr>
<tr>
<td>• Wire rope is damaged as a result of missing edge protection.</td>
</tr>
<tr>
<td>TIE DOWN DEFECT TABLE</td>
</tr>
<tr>
<td>------------------------</td>
</tr>
<tr>
<td><strong>Cordage (Fiber Rope)</strong></td>
</tr>
<tr>
<td>• Loose cordage (fiber rope).</td>
</tr>
<tr>
<td>• Burned or melted fibers except on heat-sealed ends.</td>
</tr>
<tr>
<td>• Ineffective knots formed for the purpose of connecting or repairing binders.</td>
</tr>
<tr>
<td>• <strong>Evidence of excessive wear in exterior or interior fibers.</strong></td>
</tr>
<tr>
<td>• <strong>Any evidence of loss of strength, such as a marked reduction in diameter.</strong></td>
</tr>
<tr>
<td><strong>NOTE:</strong> Effective diameter of cordage reduced by 20 percent is excessive. Repairs: Cordage used in tiedown assemblies shall not be repaired. (Separate lengths of cordage properly spliced together are not considered repairs.)</td>
</tr>
<tr>
<td><img src="image1" alt="CHAFED AND FRAYED YARNS" /></td>
</tr>
<tr>
<td><img src="image2" alt="MINOR ABRASION OR CHAFING OKAY" /></td>
</tr>
<tr>
<td>• Cordage (fiber rope) is damaged as a result of missing edge protection.</td>
</tr>
<tr>
<td><strong>Synthetic Webbing</strong></td>
</tr>
<tr>
<td>• Loose synthetic webbing.</td>
</tr>
<tr>
<td>• The tiedown contains separation of its load carrying stitch pattern(s) in excess of 1/4 of the total stitch area.</td>
</tr>
<tr>
<td>Graphic of example of a load bearing stitch pattern at hook end.</td>
</tr>
<tr>
<td><img src="image3" alt="Graphic" /></td>
</tr>
<tr>
<td>• A fitting, tensioning device, or other hardware (other than the webbing) is broken, obviously sprung, bent, twisted, or contains a visible crack or a significant nick or gouge.</td>
</tr>
<tr>
<td>• The tiedown contains a knot, repair, splice, or any other apparent defect (i.e., crushed areas, damaged loop ends, severe abrasions, etc.).</td>
</tr>
</tbody>
</table>
## TIEDOWN DEFECT TABLE

### Synthetic Webbing

- The tiedown contains cut(s), burn(s), and/or hole(s) through the webbing which total more than that shown in the Defect Classification Table.

### DEFECT CLASSIFICATION TABLE

<table>
<thead>
<tr>
<th>Web Size</th>
<th>Out-of-Service Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inches (mm)</td>
<td>Inches (mm)</td>
</tr>
<tr>
<td>4 (100)</td>
<td>Larger than 3/4 (19)</td>
</tr>
<tr>
<td>3 (75)</td>
<td>Larger than 5/8 (16)</td>
</tr>
<tr>
<td>2 (50)</td>
<td>Larger than 3/8 (10)</td>
</tr>
<tr>
<td>1.75 (45)</td>
<td>Larger than 3/8 (10)</td>
</tr>
</tbody>
</table>

All cut(s), burn(s), and/or hole(s) through the webbing are additive across the width of the strap face for its entire effective length. But only one defect is additive for any specific width.

**NOTE:** Repairs. Webbing used in tiedown assemblies shall not be repaired or spliced.

- Synthetic webbing is damaged as a result of missing edge protection.
<table>
<thead>
<tr>
<th><strong>TIEDOWN DEFECT TABLE</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Steel Strapping</strong></td>
</tr>
<tr>
<td>- Loose steel strapping.</td>
</tr>
<tr>
<td>- Steel strappings over one inch (25 mm) in width not having at least two pair of crimps in each seal.</td>
</tr>
<tr>
<td>- Steel strappings arranged in an end-over-end lap joint not sealed with at least two seals.</td>
</tr>
<tr>
<td>- Obviously damaged or distorted steel strappings.</td>
</tr>
<tr>
<td>- Steel Strapping is damaged as a result of missing edge protection.</td>
</tr>
<tr>
<td><strong>Fitting / Attachment / Tensioning Device</strong></td>
</tr>
<tr>
<td>- Obvious reduction of section through wear or corrosion.</td>
</tr>
<tr>
<td>- Obviously distorted or stretched load binders and fittings.</td>
</tr>
<tr>
<td>- Hooks opened in the throat beyond the original parallel throat opening.</td>
</tr>
<tr>
<td>- Any missing required component.</td>
</tr>
<tr>
<td>- Obvious twisting out of the plane of the fitting.</td>
</tr>
<tr>
<td>- A fitting, tensioning device, or other hardware is broken, obviously sprung, bent, twisted, or contains a visible crack or a significant nick or gouge.</td>
</tr>
<tr>
<td>- Welding or discoloration from excessive heat.</td>
</tr>
<tr>
<td><strong>NOTE:</strong> Some winches are designed to be welded to the truck bed.</td>
</tr>
<tr>
<td>- Any visible cracks.</td>
</tr>
<tr>
<td>- Any slippage detectable at a wire rope &quot;cable clamp&quot;.</td>
</tr>
<tr>
<td><strong>NOTE:</strong> End fittings may be replaced with clevis type.</td>
</tr>
<tr>
<td><strong>Anchor Point</strong></td>
</tr>
<tr>
<td>- Broken or cracked side or pocket rails, supports, or welds.</td>
</tr>
<tr>
<td>- Rails bent or distorted where hooks or fittings attach.</td>
</tr>
<tr>
<td>- Floor rings nicked, gouged, worn, twisted, bent, stretched, or with broken welds.</td>
</tr>
</tbody>
</table>
**3. COUPLING DEVICES**

**NOTE:** The following criterion only applies when the device is in use.

a. **Fifth Wheels:** (Lower Coupler Assembly)

   (1) **Mounting to Frame**
   
   (a) More than 20 percent of fasteners on either side missing or ineffective. (393.70(b)(1)(i))

   (b) Any movement between mounting components. (393.70(b)(1)(i))

   (c) Any mounting angle iron cracked or broken. (393.70(b)(1)(i))

   **NOTE:** Any repair weld cracking, well defined (especially open) cracks in stress or load-bearing areas, cracks through 20 percent or more original welds or parent metal.

   (2) **Mounting Plates & Pivot Brackets**

   (a) More than 20 percent of fasteners on either side missing or ineffective. (393.70(b)(1)(i))

   (b) Any welds or parent metal cracked. (393.70(b)(1)(i))

   **NOTE:** Any repair weld cracking, well defined (especially open) cracks in stress or load-bearing areas, cracks through 20 percent or more original welds or parent metal.

   (c) More than 3/8 inch (9.5 mm) horizontal movement between pivot bracket pin and bracket. (393.70(b)(1)(i))

   (d) Pivot bracket pin missing or not secured. (393.70(b)(1)(i))

   (3) **Sliders**

   (a) More than 25 percent of latching fasteners on either side are ineffective. (393.70(b)(1)(i))

   (b) Any fore or aft stop missing or not securely attached. (393.70(b)(1)(i))

   **NOTE:** A moveable fifth wheel that is secured with vertical pins does not need fore or aft stops.

   (c) Movement of more than 3/8 inch (9.5 mm) between slider bracket and slider base. (393.70(b)(1)(i))
(4) Operating Handle
Operating handle not in closed or locked position. (393.70(b)(2))

(5) Fifth Wheel Plate
Cracks in fifth wheel plate. (396.3(a)(1))

NOTE: Any repair weld cracking, well defined (especially open) cracks in stress or load-bearing areas, cracks through 20 percent or more original welds or parent metal.

EXCEPTIONS: (1) Cracks in fifth wheel approach ramps, and (2) casting shrinkage cracks in the ribs of the body of a cast fifth wheel.

(6) Locking Mechanism
Locking mechanism parts missing, broken, or deformed to the extent that the kingpin is not securely held. (393.70(b)(1)(i))

b. Upper Coupler Assembly: (Including Kingpin)

(1) Horizontal movement between the upper and lower fifth wheel halves exceeds 1/2 inch (12.5 mm). (396.3(a)(1))

(2) Kingpin can be moved by hand in any direction. (396.3(a)(1))

NOTE: This item is to be used when uncoupled semitrailers are encountered, such as at a terminal inspection, and it is impossible to check item (1) above. Kingpins in coupled vehicles are to be inspected using item (1) above and items (3) and (4) below. Vehicles are not to be uncoupled.

(3) Kingpin not properly engaged. (393.70(b)(2))

(4) Any trailer with a bolted upper coupler, which has fewer effective bolts than shown below. (393.70(b)(1)(i))

(5) Any welds or parent metal cracked. (393.70(b)(1)(i))

NOTE: Any repair weld cracking, well defined (especially open) cracks in stress or load-bearing areas, cracks through 20 percent or more original welds or parent metal.
### Minimum Number of Bolts per Side Based on Type & Size** of Bolt

<table>
<thead>
<tr>
<th>Maximum Trailer GVWR</th>
<th>ASTM A325 Type 1, 2 &amp; 3 (Metric 5.8)</th>
<th>SAE J429 Grade 5 (Metric 8.8)</th>
<th>SAE J429 Grade 8 (Metric 10.9)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1/2&quot; (12 mm)</td>
<td>1/2&quot; (12 mm)</td>
<td>1/2&quot; (12 mm)</td>
</tr>
<tr>
<td></td>
<td>5/8&quot; (16 mm) or larger</td>
<td>5/8&quot; (16 mm) or larger</td>
<td>5/8&quot; (16 mm) or larger</td>
</tr>
<tr>
<td>68,000 lbs (30,844 kg) or less</td>
<td>6</td>
<td>6</td>
<td>5</td>
</tr>
<tr>
<td>68,001 - 85,000 lbs (30,845 - 38,555 kg)</td>
<td>8</td>
<td>8</td>
<td>7</td>
</tr>
<tr>
<td>85,001 - 105,000 lbs (38,556 - 47,627 kg)</td>
<td>10</td>
<td>10</td>
<td>8</td>
</tr>
</tbody>
</table>

** Bolt size refers to the outside diameter of the thread.
- 1/2" inch bolts have 3/4 inch heads and nuts
- 5/8" inch bolts have 15/16 inch heads and nuts
- 12 mm bolts have 19 mm heads and nuts
- 16 mm bolts have 24 mm heads and nuts

### BOLT HEAD GRADE IDENTIFICATION MARKINGS

<table>
<thead>
<tr>
<th>ASTM A325 Type 1</th>
<th>ASTM A325 Type 2</th>
<th>ASTM A325 Type 3</th>
<th>SAE J429 Grade 5</th>
<th>SAE J429 Grade 8</th>
<th>Metric 5.8</th>
<th>Metric 8.8</th>
<th>Metric 10.9</th>
</tr>
</thead>
<tbody>
<tr>
<td>A325</td>
<td>A325</td>
<td>A325</td>
<td>5.8</td>
<td>8.8</td>
<td>10.9</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### c. Pintle Hooks

Mounting and Integrity

1. Loose mounting, missing or ineffective fasteners, or insecure latch. (Trailer - 393.70(c) or Driveaway - 393.71(h))

   **NOTE:** A fastener is not considered missing if there is an empty hole in the device but no corresponding hole in the frame and vice versa.

2. Cracks anywhere in the pintle hook assembly including mounting surface and frame cross member. (Trailer - 393.70(c) or Driveaway - 393.71(h))

3. Any welded repairs to the pintle hook assembly. (Trailer - 393.70(c) or Driveaway - 393.71(h))
(4) Section reduction visible when coupled. (Trailer - 393.70(c) or Driveaway - 393.71(h))

NOTE: No part of the horn should have any section reduced by more than 20 percent. If wear can be seen when the hook and eye are coupled, it is possible that either this condition or section reduction in the draw bar eye exists.

d. Drawbar Eye

Mounting and Integrity

(1) Any cracks in attachment welds or drawbar eye. (Trailer - 393.70(c) or Driveaway - 393.71(h))

(2) Any missing or ineffective fasteners. (Trailer - 393.70(c) or Driveaway - 393.71(h))

(3) Any welded repairs to the drawbar eye. (Trailer - 393.70(c) or Driveaway - 393.71(h))

(4) Section reduction visible when coupled. (Trailer - 393.70(c) or Driveaway - 393.71(h))

NOTE: No part of the eye should have any section reduced by more than 20 percent. If wear can be seen when the hook and eye are coupled, it is possible that either this condition or section reduction in the pintle hook exists.

e. Drawbar/Tongue

(1) Slider (Power/Manual)

(a) Ineffective latching mechanism. (Trailer - 393.70(c) or Driveaway - 393.71(h))

(b) Missing or ineffective stop. (Trailer - 393.70(c) or Driveaway - 393.71(h))

(c) Movement of more than 1/4 inch (6.4 mm) between the slider and housing. (Trailer - 393.70(c) or Driveaway - 393.71(h))

(d) Any leaking air or hydraulic cylinders, hoses, or chambers (other than slight oil weeping normal with hydraulic seals). (Trailer 393.70(c) or Driveaway - 393.71(h))

(2) Integrity

(a) Any cracks. (Trailer - 393.70(c) or Driveaway - 393.71(h))
(b) Movement of 1/4 inch (6.4 mm) between sub frame and drawbar at point of attachment. (Trailer - 393.70(c) or Driveaway - 393.71(h))

f. Safety Devices

(1) Missing. (Trailer - 393.70(d) or Driveaway - 393.71(h)(10))

(2) Unattached or incapable of secure attachment. (Trailer - 393.70(d) or Driveaway - 393.71(h)(10))

(3) Improper repairs to chains and hooks including welding, wire, small bolts, rope, and tape. (Trailer - 393.70(d) or Driveaway - 393.71(h)(10))

(4) Chain or Wire Rope: Damaged or defective to the same extent as the criterion used for chain or wire rope defects described in the “Securement of Cargo – Tiedown Defect Table”. (Trailer - 393.70(d) or Driveaway - 393.71(h)(10))

EXCEPTION: Quick-link(s) that are fully engaged, and marked with a manufacturer’s minimum breaking strength/force (MBS/MBF) rating on the link that is equal to or greater than the GVW of the trailer(s), are acceptable for use in a safety device.

g. Hitch Systems (Excluding Fifth Wheels and Pintle Hooks)

Mounting and Integrity

(1) Loose mounting, missing or ineffective fasteners, or insecure latch. (Trailer - 393.70(c) or Driveaway - 393.71(h))

NOTE: A fastener is not considered missing if there is an empty hole in the device but no corresponding hole in the frame and vice versa.

(2) Cracks anywhere in the hitch system including mounting surface and frame cross member. (Trailer - 393.70(c) or Driveaway - 393.71(h))

(3) Any welded repairs to the ball, ball-socket, pin or eye. (Trailer - 393.70(c) or Driveaway - 393.71(h))

h. Saddle-Mounts (Method of Attachment)

(1) Any missing or ineffective fasteners. (Upper - 393.71(j) or Lower - 393.71(k))

(2) Loose mountings. (Upper - 393.71(j) or Lower - 393.71(k))

(3) Any cracks or breaks in a stress or load-bearing member. (Upper - 393.71(j) or Lower - 393.71(k))
(4) Horizontal movement between upper and lower saddle-mount halves exceeds 1/4 inch (6.4 mm). (Upper - 393.71(j) or Lower - 393.71(k))

I. **Full Trailer** (Double Ring, Ball-Bearing Turntable)

(1) Mounting – Top and Bottom
   
   (a) Top flange has less than 6 effective bolts. (393.70(c))
   
   (b) Bottom flange has less than 6 effective bolts. (393.70(c))
   
   (c) Twenty percent or more of original welds (or repaired original welds), or parent metal cracked. (393.70(c))

(2) Wear
   
   (a) Upper flange half touching lower flange half. (393.70(c))
   
   (b) Cracked flanges. (393.70(c))

*4. **DRIVELINE/DRIVESHAFT**

*a. **Yoke Ends** (Including Slip Yoke, Yoke Shaft, Tube Yoke and End Fitting Yoke)

*(1) Any visible crack in a yoke end. (396.3(a)(1))

*(2) Any yoke mounting hardware loose (with hand pressure only), broken or missing. (396.3(a)(1))

*(3) Any horizontal or vertical movement of slip joint yoke shaft of greater than ½ inch (12.8 mm), with hand pressure only. (396.3(a)(1))

*(4) Any loose, broken or missing end fitting fastener. (Also see item 4.b.(1)) (396.3(a)(1))
*b. Universal Joint

*(1) Any independent vertical movement between opposing yoke ends greater than 1/8 inch (3.2 mm), with hand pressure only. (396.3(a)(1))

*(2) Any missing universal joint bearing cap. (Also see item 4.b.(1)) (396.3(a)(1))

*(3) Any missing, broken or loose (with hand pressure only) universal joint bearing cap bolt. (396.3(a)(1))

![Diagram of Universal Joint](image)

*c. Center Bearing (Carrier Bearing)

*(1) Any broken or loose center bearing bracket, bracket bolts or mounting hardware. (396.3(a)(1))

*(2) Any center bearing bracket crack equaling 50 percent or more of the original bracket width. (396.3(a)(1))

*(3) More than 1/2 inch (12.8 mm) vertical movement (with hand pressure only) of the shaft in the center bearing carrier. (396.3(a)(1))

![Diagram of Center Bearing](image)
*d. Driveshaft Tube

*(1) Any original metal crack in the shaft tube greater than ¼ inch (6.4 mm) in length. (396.3(a)(1))

*(2) Obvious cracked weld at shaft tube end. (396.3(a)(1))

*(3) Any shaft tube with obvious twist. (396.3(a)(1))

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**5. EXHAUST SYSTEMS**

a. Any exhaust system leaking at a point forward of or directly below the driver/sleeper compartment and the vehicle has a condition that permits entry of exhaust fumes into the driver/sleeper compartment. (393.83(g))

*b. Any bus exhaust system leaking or discharging under the chassis more than 6 inches (15.24 cm) forward of the rear most part of the bus when powered by a gasoline engine, or more than 15 inches (38.1 cm) forward of the rear most part of the bus when powered by other than a gasoline engine, unless the exhaust discharges to the rear of all doors or windows designed to be opened, which does not include doors or windows designed to be opened solely as emergency exits. (393.83(d))

b. No part of the exhaust system of any motor vehicle shall be so located as to be likely to result in burning, charring, or damaging the electrical wiring, the fuel supply, or any combustible part of the motor vehicle. (393.83(a))

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* Inspection Bulletin 2014-01 – Driveline/Driveshaft Inspections

6. **FRAMES**

a. **Frame Members**

   (1) Any cracked, loose, sagging, or broken frame siderail permitting shifting of the body onto moving parts or other condition indicating an imminent collapse of the frame. (393.201(a))

   (2) Any cracked, loose, or broken frame member adversely affecting support of functional components such as steering gear, fifth wheel, engine, transmission, body parts and suspension. (393.201(a))

   (3) One and one-half inches (38 mm) or longer crack in frame siderail web which is directed toward bottom flange. (393.201(a))

   (4) Any crack extending from the frame siderail web around the radius and into the bottom flange. (393.201(a))

   (5) One inch (25 mm) or longer crack in siderail bottom flange. (393.201(a))

   **NOTE:** Items (1) and (2) above, apply to all buses, including those having unitized (monocoque) construction. Items (3) and (4) apply only to buses having a body-on-chassis design, such as most school buses.

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b. **Tire and Wheel Clearance**

   Any condition, including loading that causes the body or frame to be in contact with a tire or any part of the wheel assemblies, at the time of inspection. (396.3(a)(1))
7. **FUEL SYSTEMS**

a. **Liquid Fuels**

   (1) A fuel system with a dripping leak at any point (including refrigeration or heater fuel systems). (396.3(a)(1))

   (2) A fuel tank not securely attached to the vehicle. (393.65(c))

   **NOTE:** Some fuel tanks use spring or rubber bushings to permit movement.

   (3) Passenger Carrying Vehicle: Missing fuel cap. (393.67(c)(7)(v))

b. **Gaseous Fuels**

Compressed Natural Gas (CNG), Liquefied Petroleum Gas (LPG) and Liquefied Natural Gas (LNG)

**OCCUPATIONAL SAFETY NOTE:** Personnel must exercise extreme caution whenever checking a gaseous fuel system for leaks. Any possibility of creating sparks, static electricity, friction, etc. must be avoided, as they could cause a fire or explosion.

**OCCUPATIONAL SAFETY NOTE:** Vehicles with leaking gaseous fuel systems must be parked carefully. Gases escaping from CNG and LNG systems will rise. If the vehicle is parked inside a building or under a canopy, roof or similar cover, combustible gasses can collect beneath the ceiling. Escaping LPG falls and can form a “pool” of combustible gas near the ground and displaces air including oxygen. LPG and liquid LNG will flow into open drains. Combustible gases can explode when ignited by an open flame or spark.

(1) **CNG or LPG**

   (a) Any fuel leakage from the CNG or LPG system detected by smell and verified by either a bubble test using non-ammonia, non-corrosive soap solution or a flammable gas detection meter. (396.3(a)(1))

   (b) Any fuel leakage from the CNG or LPG system detected audibly and verified by either a bubble test using non-ammonia, non-corrosive soap solution or flammable gas detection meter. (396.3(a)(1))

   **NOTE:** Verification is needed to ensure that the sound is not either internal to the fuel system (such as gas flowing in a pressure regulator, or pressure equalizing between manifolled tanks) or a leak in the air brake system.
(c) Any fuel leakage from the CNG or LPG system detected visibly (evidence such as ice buildup at fuel system connections and fittings) and verified by either a bubble test using non-ammonia, non-corrosive soap solution or a flammable gas detection meter. (396.3(a)(1))

NOTE: Some brief fuel leakage or decompression may occur during refueling, causing temporary frosting of CNG or LPG fuel system parts. If the vehicle has been refueled shortly before inspection, care must be taken to distinguish these temporary frosting occurrences from actual leaks.

(2) LNG

OCCUPATIONAL SAFETY NOTE: LNG is a cryogenic material and presents a potential safety hazard due to the extremely cold temperature of its liquid and the flammability of its vapor. Personnel inspecting such systems should exercise utmost caution including the wearing of proper eye protection, gloves and clothing.

NOTE: LNG liquid and vaporized gas is odorless and undetectable by the human sense of smell. Frost buildup is not necessarily evidence of leakage. Many components of LNG fuel systems are extremely cold and will exhibit an even coat of frost produced by moisture in the surrounding air condensing and freezing on them.

(a) A cloud of water vapor coming from any component of the fuel system. (396.3(a)(1))

NOTE: It is normal, particularly in humid conditions, for water vapor to collect around many portions of a LNG fuel system.

(b) Any leak detected by a methane detection meter. (396.3(a)(1))

(c) Dripping liquid that boils or vaporizes in the air. (396.3(a)(1))
**8. LIGHTING DEVICES (HEADLAMPS, TAIL LAMPS, STOP LAMPS, TURN SIGNALS AND LAMPS / FLAGS ON PROJECTING LOADS)**

a. When Lights Are Required

(1) Headlamps - The single vehicle or towing vehicle does not have at least one headlamp operative on low beam. (Inoperative - 393.9(a); Obscured - 393.9(b); Missing - 393.11(a)(1); or, Driveaway - 393.17(a)(1))

(2) Lamps on rear - Bus, truck, truck tractor, and towed vehicle (including driveaway/towaway operations) not having at least one steady burning tail lamp on the rear of the rearmost vehicle visible from 500 feet (152.4 m). (Inoperative - 393.9(a); Obscured - 393.9(b); Missing - 393.11(a)(1); or, Driveaway - 393.17(b)(2))

(3) Lamps on projecting loads - There is not at least one operative steady burning lamp on the rear of loads projecting more than four feet beyond the vehicle body, visible from 500 feet (152.4 m). (Inoperative - 393.9(a); Obscured - 393.9(b); or, Missing - 393.11(a)(1))

b. At Anytime – Day or Night

(1) Does not have at least one operative stop lamp on the rear of a single unit vehicle or the rear of the rearmost vehicle of a combination of vehicles visible at 500 feet (152.4 m). (Inoperative - 393.9(a); Obscured - 393.9(b); Missing - 393.11(a)(1); or, Driveaway - 393.17(b)(2))

(2) Does not have an operative turn signal visible on each side of the rear of a single unit vehicle or the rear of the rearmost vehicle of a combination of vehicles. (Inoperative - 393.9(a); Obscured - 393.9(b); Missing - 393.11(a)(1); or, Driveaway - 393.17(b)(2))

**EXCEPTION:** A truck tractor operated as a single unit is not in an out-of-service condition for an inoperative rear turn signal when the turn signals located on the front are visible from the rear.

(3) Does not have at least one required flag on the rear of loads projecting more than four feet beyond the vehicle body. (393.87(a))
9. **STEERING MECHANISMS**

a. **Steering Wheel Lash (Free Play)**

(See Chart: When any of these values - inch movement or degrees - are met or exceeded, vehicle shall be declared out-of-service.) (393.209(b))

For power steering systems, engine must be running.

<table>
<thead>
<tr>
<th>Steering Wheel Diameter</th>
<th>Manual System Movement 30°</th>
<th>Power System Movement 45°</th>
</tr>
</thead>
<tbody>
<tr>
<td>16” (40.6 cm)</td>
<td>4-1/2” (11.4 cm)(or more)</td>
<td>6-3/4” (17.1 cm)(or more)</td>
</tr>
<tr>
<td>18” (45.7 cm)</td>
<td>4-3/4” (12 cm)(or more)</td>
<td>7-1/8” (18.1 cm)(or more)</td>
</tr>
<tr>
<td>19” (48.2 cm)</td>
<td>5” (12.7 cm)(or more)</td>
<td>7-1/2” (19 cm)(or more)</td>
</tr>
<tr>
<td>20” (50.8 cm)</td>
<td>5-1/4” (13.3 cm)(or more)</td>
<td>7-7/8” (20 cm)(or more)</td>
</tr>
<tr>
<td>21” (53.3 cm)</td>
<td>5-1/2” (13.9 cm)(or more)</td>
<td>8-1/4” (20.9 cm)(or more)</td>
</tr>
<tr>
<td>22” (55.8 cm)</td>
<td>5-3/4” (14.6 cm)(or more)</td>
<td>8-5/8” (21.9 cm)(or more)</td>
</tr>
</tbody>
</table>

For power systems, if steering wheel movement exceeds 45 degrees before steering axle tires move, proceed as follows: Rock steering wheel left to right between points of power steering valve resistance. If that motion exceeds 30 degrees (or the inch movement values shown for manual steering) vehicle shall be declared out-of-service. This test is to differentiate between excessive lash and power systems designed to avoid providing steering assistance when the steering wheel is turned while the truck is motionless (not moving forward or backward).

b. **Steering Column**

(1) Any absence or looseness of u-bolt(s) or positioning part(s). (393.209(c))

(2) Obviously repair-welded universal joint(s). (393.209(d))

(3) Steering wheel not properly secured. (393.209(a))

(4) Telescopic steering column does not lock into position. (396.3(a)(1))

(5) Tilt steering column does not lock in at least one position. (396.3(a)(1))

c. **Front Axle Beam and All Steering Components other than Steering Column (Including Hub)**

(1) Any crack(s). (396.3(a)(1))

(2) Any obvious welded repair(s). (396.3(a)(1))
d. **Steering Gear Box (Including Rack and Pinion)**

   (1) Any mounting bolt(s) loose or missing. (393.209(d))

   (2) Any crack(s) in gear box or mounting brackets. (393.209(d))

   (3) Any obvious welded repair(s). (396.3(a)(1))

   (4) Any looseness of the yoke-coupling to the steering gear input shaft. (393.209(d))

**Inspection Bulletin 2010-03 – Rack and Pinion Steering System Inspection**

e. **Pitman Arm**

   (1) Any looseness of the pitman arm on the steering gear output shaft. (393.209(d))

   (2) Any obvious welded repair(s). (396.3(a)(1))

f. **Power Steering**

   Auxiliary power assist cylinder loose. (393.209(e))

g. **Ball and Socket Joints**

   (1) Any movement under steering load of a stud nut. (393.209(d))

   (2) Any motion, other than rotational, between any linkage member and its attachment point of more than 1/8 inch (3.2 mm) measured with hand pressure only. (393.209(d))

   (3) Any obvious welded repair(s). (393.209(d))

h. **Tie Rods and Drag Links**

   (1) Loose clamp(s) or clamp bolt(s) on tie rods or drag links. (396.3(a)(1))

   (2) Any looseness in any threaded joint. (396.3(a)(1))

i. **Nuts**

   Loose or missing on tie rods, pitman arm, drag link, steering arm, or tie rod arm. (396.3(a)(1))
j. **Steering System**

Any modification or other condition that interferes with free movement of any steering component. (393.209(d))

k. **C-Dolly**

(1) Missing or inoperable steering locks. (396.3(a)(1))

(2) Steering not centered in the “zero” locked position. (396.3(a)(1))

*10. **SUSPENSIONS**

*a. **Axle Parts/Members**

(1) Any u-bolt(s) or other spring to axle clamp bolt(s) cracked, broken, loose, or missing. (393.207(a))

*Inspection Guidance – Interpretation #1*

*(2) Any axle, axle housing, spring hanger(s), or other axle positioning part(s) cracked, broken, loose, or missing resulting in shifting of an axle from its normal position. (393.207(a))

*NOTE: After a turn, lateral axle displacement is normal with some suspensions including composite springs mounted on steering axles.*

*Inspection Guidance – Interpretation #5 & #7*

*b. **Spring Assembly**

(1) One-fourth or more of the leaves in any spring assembly broken. (393.207(c))

(2) Any leaf or portion of any leaf in any spring assembly is missing or separated. (393.207(c))

(3) Any broken main leaf in a leaf spring. (393.207(c))
NOTES:

1. Any leaf of leaf spring assembly is a main leaf if it extends, at both ends, to or beyond:
   a. The load bearing surface of a spring hanger or equalizer.
   b. The spring end cap or insulator box mounted on the axle.
   c. A spring eye, further: Any leaf or a helper spring assembly is a helper main leaf if it extends, at both ends, to or beyond the load bearing surface of its contact pad, hanger, or equalizer.

*2. The suspension connecting leaf, in springs having such a leaf, has the same function as the suspension connecting rod components referenced in “Suspension Connecting Rod, Tracking Component Assembly or Sway Bar Components” and should be treated as such a component for purposes of out-of-service.
(4) Coil spring broken. (393.207(d))

(5) Rubber spring missing. (393.207(a))

(6) One or more leaves displaced in a manner that could result in contact with a tire, rim, brake drum, or frame. (393.207(c))

(7) Broken torsion bar spring in torsion bar suspension. (393.207(e))

(8) Air Suspension
   (a) Deflated air suspension (one or more deflated air spring/bag). (393.207(f))
   (b) Air spring/bag is missing or is detached at the top or bottom. (393.207(f))

c. Composite Springs
   (1) Intersecting cracks of any length. (393.207(c))
   (2) A crack that extends beyond 3/4 the length of the spring. (393.207(c))

**NOTE:** A crack is a separation in any axis which passes completely through the spring.

Intersecting cracks of any length.

![Intersecting cracks of any length](image1)

Side to side crack extending beyond 3/4 of the length of the spring. (A crack that extends beyond 3/4 the length of the spring.)

![Side to side crack extending beyond 3/4 of the length of the spring](image2)
Top to bottom crack extending beyond 3/4 of the length of the spring. (A crack that extends beyond 3/4 the length of the spring.)

*d. Suspension Connecting Rod, Tracking Component Assembly or Sway Bar Components

*(1) Any part of a suspension connecting rod or tracking component assembly (including spring leaves used as a suspension connecting rod) or any part used for attaching same to the vehicle frame or axle that is cracked, loose, broken, or missing. (393.207(a))

*Inspection Guidance – Interpretation #5 & #7

*(2) Any part of a suspension connecting rod or tracking component assembly (including spring leaves used as a suspension connecting rod) equipped with rubber bushings is missing the bushing or the bushing is worn to the extent that the component can be moved by hand along the axis of the component. (393.207(a))

**NOTE:** A shock absorber is not a suspension connecting rod. A defective shock absorber will not result in an OOS condition.
*e.  **Adjustable Axles(s)/Sliding Trailer Suspension System**

(1) More than one-fourth of the locking pins or locking pin holes that are in use meet any of the following conditions:

   (a) Locking pin is missing or not engaged. (393.207(b))

   (b) A locking-pin hole measures more than 1 inch (25 mm) larger than its original size. (396.3(a)(1))

   (c) The material from the hole in use to an adjacent hole, or the material from the hole in use to the edge of the rail, is torn or split. (396.3(a)(1))

(2) More than one-fourth of the slider-guide/hold-down brackets are missing or disengaged. (396.3(a)(1))
*(3) The sliding suspension attachment member (undercarriage body rail) on either side exhibits a crack of any length in more than 50 percent of its attachment welds. (396.3(a)(1))

*(4) A sliding suspension member’s (undercarriage body rail) attachment welds are cracked completely through along a 4 foot (1.2 m) continuous length of the body rail. (396.3(a)(1))

*(5) A sliding suspension attachment member (undercarriage body rail) is cracked completely through along a 4 foot (1.2 m) continuous length. (396.3(a)(1))

**11. TIRES**

a. Any Tire on Any Front Steering Axle(s) of a Power Unit

   (1) With less than 2/32 inch (1.6 mm) tread when measured in any two adjacent major tread grooves (typically any groove containing a tread wear indicator) at any location on the tire. (393.75(b))

   **NOTE:** Measurements should not be made on stone ejectors or tread wear indicators.

   (2) When any part of the belt material, breaker strip or casing ply is showing in the tread. (393.75(a)(1))

   (3) When sidewall is cut, worn, or damaged to the extent that the steel or fabric ply cord is exposed. (393.75(a)(1))

   (4) Labeled “Not For Highway Use” or carrying other markings that indicate excluded use on steering axles. (396.3(a)(1))

   (5) Visually observable bump, bulge, or knot apparently related to tread or sidewall separation. (393.75(a)(2))

   **EXCEPTION:** A bulge (due to a repair) of up to 3/8 inch (9.5 mm) in height is allowed. This bulge may sometimes be identified by a blue triangular label in the immediate vicinity.
(6) Presence of rubber coated cord or cured rubber plug in the sidewall. (396.3(a)(1))

(7) Tire has noticeable (e.g., can be heard or felt) leak, or has fifty (50) percent or less of the maximum inflation pressure marked on the tire sidewall. (393.75(a)(3))

**NOTE:** Measure tire air pressure only if there is evidence the tire is under-inflated.

(8) So mounted or inflated that it comes in contact with any part of the vehicle. (396.3(a)(1))

**NOTE:** An out-of-service condition exists only if the tire can be made to contact another component at the time of inspection.

(9) Weight carried exceeds tire load limit. This includes overloaded tire resulting from low air pressure. (Load Limit - 393.75(f) or Inflation Pressure - 393.75(h))

**EXCEPTION:** Does not apply to vehicles being operated under the special permit exclusion. (393.75(f)(1) or 393.75(f)(2))

(10) Passenger Carrying Vehicle: Regrooved, recapped, or retreaded tires on front steering axles. (393.75(d))

b. **All Tires Other Than Those Found on the Front Steering Axle(s) of a Powered Unit**

(1) Tire has noticeable (e.g., can be heard or felt) leak, or has fifty (50) percent or less of the maximum inflation pressure marked on the tire sidewall. (393.75(a)(3))

**NOTE:** Measure tire air pressure only if there is evidence the tire is under-inflated.

(2) Any tire with visually observable bump or knot apparently related to tread or sidewall separation. (393.75(a)(2))

**EXCEPTION:** A bulge (due to a repair) of up to 3/8 inch (9.5 mm) in height is allowed. The bulge may sometimes be identified by a blue triangular label in the immediate vicinity.

(3) So mounted or inflated that it comes in contact with any part of the vehicle. (396.3(a)(1))

**NOTE:** This includes any tire contacting its mate in a dual set.
(4) Weight carried exceeds tire load limit. This includes overloaded tire resulting from low air pressure. (Load Limit - 393.75(f); 393.75(g)(1); 393.75(g)(2); or, Inflation Pressure - 393.75(h))

**EXCEPTION:** Does not apply to vehicles being operated under the special permit exclusion. (393.75 (f)(1) or 393.75(f)(2))

(5) Seventy-five (75) percent or more of the tread width loose or missing in excess of 12 inches (30.4 cm) in circumference. (393.75(a)(2))

(6) Bias Ply Tire: When more than one ply is exposed in the sidewall and the area exceeds 2 square inches (12.9 sq cm). (393.75(a)(1))

(7) Radial Ply Tire: When more than one ply is exposed in the sidewall and the area exceeds 2 square inches (12.9 sq cm). (393.75(a)(1))

The following conditions apply to all tires; however, when these conditions are found on a dual tire set, both tires must meet one or more of the conditions listed in item 10.b.

(8) Bias Ply Tire: When more than one ply is exposed in the tread area and the exposed area of the top ply exceeds 2 square inches (12.9 sq cm) or damaged plies are evident in the sidewall up to 2 square inches (12.9 sq cm). (393.75(a)(1))

(9) Radial Ply Tire: When two or more plies are exposed in the tread area and the exposed area of the top ply exceeds 2 square inches (12.9 sq cm) or damaged cords are evident in the sidewall up to 2 square inches (12.9 sq cm). (393.75(a)(1))

(10) Presence of rubber coated cord or cured rubber plug in the sidewall. (396.3(a)(1))

(11) So worn that less than 1/32 inch (.8 mm) tread remains when measured in any two adjacent major tread grooves (typically any groove containing a tread wear indicator) at 3 separate locations around the circumference of the tire at least 8 inches apart. (393.75(c))

**NOTE:** Measurements should not be made on stone ejectors or tread wear indicators.

*Inspection Guidance – Interpretation #2*

**12. VAN AND OPEN-TOP TRAILER BODIES**

a. **Upper Rail**

(1) Broken with complete separation of the flange. (393.201(a))
(2) Buckled or cracked when accompanied by missing, working (movement under stress) or loose fasteners at adjacent roof bows and/or side posts. (393.201(a))

(3) Buckled or cracked when accompanied by broken, ineffective, or missing adjacent roof bows. (393.201(a))

b. Lower Rail

(1) Broken with complete separation in the bay area accompanied by sagging floor, rail, or crossmember; or broken with loose, working (movement under stress) or missing fasteners at side posts adjacent to the crack. (393.201(a))

**NOTE:** The lower rail of a van or open-top trailer can become gouged, chunked, or bent during operation. These are superficial damages only and do little to degrade the rail’s strength or integrity.

(2) Drop frame trailers showing twists, bends, or fatigue cracking at the drop frame’s elevation changes. (393.201(a))

c. Floor Crossmembers

(1) Three or more adjacent broken, and/or completely detached from and sagging below the lower rail in the bay area. (393.201(a))

(2) Broken floor accompanied by protruding freight and sagging crossmembers. (393.201(a))

d. Side Panels on Fiberglass Reinforced Plywood (FRP) Trailers

Damage in the bay area that penetrates completely through the fiberglass and plywood resulting in a sagging lower rail. (393.201)

**NOTES:** The following apply to all items under “Van and Open-Top Trailer Bodies”.

1. These conditions are only considered out-of-service if the failure is in the bay area (aft of kingpin coupler plate and forward of the axle sub frame rails).

2. Trailers 30 feet (9.14 m) or less in length have a short bay area and are not as susceptible to catastrophic failures, therefore, only rail breaks accompanied by a sagging floor, rail, or crossmember are out of service for them.

3. Rail, post, bow, crossmember, and side/front panel damage in areas outside the bay area are not imminently hazardous and should not be considered out-of-service unless they lead to conditions described in other items of the North American Standard Out-of-Service Criteria.
13. **WHEELS, RIMS AND HUBS**

   a. **Lock or Side Ring**
      
      Bent, broken, cracked, improperly seated, sprung, or mismatched ring(s). (393.205(a))

   b. **Rim Cracks**
      
      Any circumferential crack. (393.205(a))

   c. **Disc Wheel Cracks**
      
      (1) Any crack exceeding 3 inches (76.2 mm) in length. (393.205(a))
      
      (2) A crack extending between any two holes (hand holes, stud holes and center holes). (393.205(a))
      
      (3) Two or more cracks anywhere on the wheel. (393.205(a))

   d. **Bolt/Stud Holes (Disc Wheels)**
      
      Any visible elongated bolt/stud hole. (393.205(b))

   e. **Spoke Wheel Cracks**
      
      (1) Two or more cracks more than 1 inch (25.4 mm) long across a spoke or hub section. (393.205(a))
      
      (2) Two or more web areas with cracks. (393.205(a))

   f. **Tubeless Demountable Adapter Cracks**
      
      (1) A crack exceeding 3 inches (76.2 mm). (393.205(a))
      
      (2) Cracks at three or more spokes. (393.205(a))

   g. **Wheel Fasteners**
      
      Loose, missing, broken, cracked, or stripped wheel fasteners that are ineffective as follows: for 10 fastener positions - 3 anywhere or 2 adjacent; for 8 fastener positions or less - 2 anywhere (this applies to both spoke and disc wheels). (393.205(c))

   h. **Welds**
      
      (1) Any cracks in welds attaching disc wheel to rim. (393.205(a))
      
      (2) Any crack in welds attaching tubeless demountable rim to adapter. (393.205(a))
(3) Any welded repair on any aluminum wheel(s). (396.3(a)(1))

(4) Any welded repair other than disc to rim attachment on steel disc wheel(s). (396.3(a)(1))

i. Hubs

(1) When any bearing (hub) cap, plug or filler plug is missing or broken allowing an open view into hub assembly. (396.3(a)(1))

(2) Smoking from wheel hub assembly due to bearing failure. (396.3(a)(1))

NOTE: Refer to “Brake Systems – Brake Smoke/Fire”; as the cause may either be the brakes or a problem in the hub and bearing area.

(3) When any wheel seal is leaking. This must include evidence of wet contamination of the brake friction material and accompanied by evidence that further leaking will occur. (396.5(b))

NOTE: Refer to the applicable contaminated friction material criterion in "Brake Systems", when condition is present.

NOTE: Grease/oil on the brake lining edge, back of shoe, or drum edge and oil stain with no evidence of fresh oil leakage are not conditions for out-of-service.

(4) Lubricant is leaking from the hub and is present on the wheel surface (caused by a loose hub cap or hub cap bolts, or hub cap damage) accompanied by evidence that further leakage will occur. (396.5(b))

(5) No visible or measurable amount of lubricant showing in hub. (396.5(a))

**Inspection Guidance – Interpretation #2**

**14. WINDSHIELD WIPERS**

Any power unit that has an inoperative wiper or missing, or damaged parts that render it ineffective on the driver’s side. (Applicable only in inclement weather requiring use of windshield wipers.) (393.78(a) or 393.78(b))

**15. EMERGENCY EXITS AND/OR ELECTRICAL CABLES AND SYSTEMS IN ENGINE AND BATTERY COMPARTMENTS (BUSES)**

a. Emergency Exits

Required and/or marked emergency exits that are missing, inoperative (does not open, close, and/or secure as designed), or obstructed. (393.62)
b. **Electrical Cables and Systems in Engine and Battery Compartments**

(1) Electrical cable insulation chafed, frayed, damaged, burnt, causing bare cable to be exposed. (393.28)

(2) Missing or damaged protective grommets insulating electrical cables through metal compartment panels. (393.28)

(3) Broken or unsecured mounting of electrical components. (396.3(a)(1))

(4) Electrical cables unsupported, hanging or missing clamps that may cause a chafing or frayed condition. (393.28)

(5) Any visual leaking of lubricant (i.e., engine supplied oil pressure) from electrical component such as alternator, auxiliary heater, etc. (396.5(b))

**NOTE:** A cable is the power-conveying part of a high wattage/voltage electrical system. It usually has no circuit overload protection included in the system (i.e., battery to electrical starter or alternator to battery).
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Part III

NORTH AMERICAN STANDARD HAZARDOUS MATERIALS
OUT-OF-SERVICE CRITERIA

POLICY STATEMENT

The purpose of this part is to provide criteria for the abatement of unsafe conditions in the transportation of hazardous materials/dangerous goods and is based upon the presence of any condition(s) which fail(s) to communicate the hazard(s) or is an imminent hazard.

Except where state, provincial, territorial, or federal laws preclude enforcement of a named item, motor carrier safety enforcement personnel and their jurisdictions shall comply with these out-of-service violation standards.

OUT-OF-SERVICE: Condition(s) categorized in this document as “out-of-service” shall not be allowed to continue in commerce until the out-of-service condition(s) is/are fully corrected and complies with the applicable regulations. If, at the discretion of the inspector, it is less hazardous to the public to relocate the vehicle, it shall be towed, transported, or escorted to a safe location only at the direction of an official authority.

When a vehicle is declared out-of-service for a condition, all violations that contributed to the specific out-of-service condition must be repaired (e.g., a vehicle declared out-of-service for 50 percent or more missing placards must have all missing placards replaced prior to being released).

An out-of-service condition cannot be corrected by creating a new violation (e.g., if a vehicle is declared out-of-service for two missing placards, an otherwise compliant placard cannot be removed from another vehicle in the combination if such removal would create a violation on that other vehicle).

When a U.S. DOT/Transport Canada specification cargo tank inspection is completed in conjunction with North American Standard Level I and/or Level V Inspection CVSA decals shall not be issued to U.S. DOT/Transport Canada specification cargo tank vehicles found to have violations of the following:

- Retest Requirements
- Cargo Tank Authorization
  - Does not include specification shortages
- Manhole Covers
- Internal Valves
- Discharge Valves
- Cargo Tank Integrity
- Supports and Anchoring
- Double Bulkhead Drains
- Ring Stiffeners
- Rear End Protection
- Emergency Flow Control
- Piping and Protection
- Overturn Protection
- Venting
1. **SHIPPING PAPERS – GENERAL**

Present when required. An out-of-service condition exists when transporting HM/DG not accompanied by a shipping paper clearly identifying the specific HM/DG being transported. An error in the shipping description or an incomplete shipping description that will not impede emergency response does not constitute an out-of-service condition.

2. **PLACARDING**

   a. The required placards must be displayed on a transport vehicle.

   b. **Number and Type of Placards:**

      An out-of-service condition exists when 50 percent or more of the required placards for a hazard class are missing or any placard(s) misrepresent(s) the HM/DG being transported.

      **NOTE:** For this out-of-service item to apply, HM/DG must be present.

3. **BULK PACKAGES**

   a. **Internal Valve (Missing)**

      An out-of-service condition exists if the internal valve is missing when required.

   b. **Internal Valve (Open)**

      An out-of-service condition exists when the internal valve is in the open position.

   c. **Bulk Package Authorization**

      An out-of-service condition exists when transporting HM/DG in a bulk package not authorized for the material being transported. Unless otherwise indicated herein, specification shortages shall not disqualify an otherwise authorized package.

   d. **Venting Devices, Manhole Covers, Fill/Inspection Openings and Discharge Valves**

      Missing or improperly secured venting devices, manhole covers, fill/inspection openings or discharge valves constitute an out-of-service condition.

   e. **Bulk Package Integrity**

      HM/DG leaking from a bulk package (including associated piping) constitutes an out-of-service condition.
f. **Supports and Anchoring**

An out-of-service condition exists when more than 25 percent of the supporting and/or anchoring mechanisms are ineffective.

**NOTE:** A bulk package which is also an intermodal container must also be secured in accordance with "Securement of Cargo" in Part II.

### 4. TRANSPORT VEHICLE MARKINGS

a. **The Required ID Numbers Must Be Displayed On A Transport Vehicle:**

The ID numbers may be displayed on orange panels, a white square-on-point configuration, or incorporated with the placards.

An out-of-service condition exists when 50 percent or more of the required ID numbers are missing for each material or when any ID number misrepresents the material transported.

**NOTE:** In Canada required placards and markings must be displayed on four sides of all large means of containment.

**NOTE:** For this out-of-service item to apply, an HM/DG must be present.

### 5. POISON INHALATION HAZARD (PIH) MARKINGS

a. **Non-Bulk Packaging – Present When Required**

An out-of-service condition exists when required markings are missing or illegible.

b. **Bulk Packaging – Present When Required**

An out-of-service condition exists when required markings are missing or illegible.

### 6. NON-BULK PACKAGING

**Package Integrity**

HM/DG leaking in or from a package constitutes an out-of-service condition.
7. **LOADING AND SECUREMENT**

   a. **Blocking and Bracing**

      Transporting HM/DG not blocked, braced, or secured as required by applicable regulation constitutes an out-of-service condition.

      **NOTE:** Any shifting likely to adversely affect HM/DG package integrity, under conditions normally incident to transportation.

   b. **Product Compatibility**

      Transporting incompatible commodities constitutes an out-of-service condition, unless otherwise excepted.

   c. **Poison/Edible Materials**

      Transporting packages requiring "poison"/"toxic" or "poison – inhalation hazard"/"toxic" - inhalation hazard" label(s) in the same vehicle with foodstuffs, feed, or other edible materials intended for consumption by humans or animals constitutes an out-of-service condition, unless otherwise excepted.

      **NOTE:** When initiating an out-of-service action, contact proper health authority within your jurisdiction.

8. **FORBIDDEN ITEMS**

   **Forbidden Materials**

   The transportation of forbidden items constitutes an out-of-service condition.

9. **RADIOACTIVE MATERIALS – RADIATION LEVELS**

   **Measured at Surface of Vehicle**

   An out-of-service condition exists when measurement exceeds 2mSv/hr (200 mrem/hour), at accessible surface of vehicle.

   **NOTE:** When initiating out-of-service action, contact the appropriate health physicists, or radiation agency with jurisdiction.

10. **EMERGENCY RESPONSE ASSISTANCE PLAN (ERAP)** (In Canada Only)

    An out-of-service condition exists when HM/DG are transported in Canada without an approved ERAP when it is required.
Part IV

NORTH AMERICAN STANDARD ADMINISTRATIVE
OUT-OF-SERVICE CRITERIA

POLICY STATEMENT

The purpose of this part is to identify violations that prohibit the motor carrier from operating the commercial motor vehicle until the condition is corrected. The violations in this section are important aspects of the carrier’s ability to operate lawfully and to help in maintaining uniformity across the industry.

The necessity for all enforcement personnel to implement and adhere to these standards is: (1) a matter of law; (2) perceived as necessary by the society we are charged with protecting, and (3) a professional obligation if substantial enhancement in the safety of commercial motor vehicle operators is to be achieved.

Except where state, provincial, territorial, or federal laws preclude enforcement of a named item, motor carrier safety enforcement personnel and their jurisdictions shall comply with these out-of-service violation standards.

OUT-OF-SERVICE VIOLATION: Violations under this category preclude further operation of a commercial motor vehicle by the carrier for a specified period of time or for some violations until a specific requirement has been complied with.

FMCSR code references in the North American Standard Out-of-Service Criteria are simply recommendations to help inspectors find an appropriate citation. Other violation codes may be more suitable for a specific condition.
1. **OPERATING AUTHORITY**

Operating a motor vehicle without the required operating authority or beyond the scope of the motor carriers’ operating authority. (Authority Required - 392.9a(a)(1) or Beyond Scope - 392.9a(a)(2)) **Declare vehicle out-of-service until the proper operating authority is obtained.**

2. **MEXICO DOMICILED CARRIERS OPERATING IN THE U.S.**

A Mexico-domiciled carrier (USDOT X Number) granted provisional operating authority pursuant to 49 CFR 365 operating a commercial motor vehicle in the United States that does not display a current CVSA decal on the power unit. (385.103(c)) **Declare vehicle out-of-service until the vehicle satisfactorily passes an inspection and a CVSA decal is issued.**

3. **U.S. FEDERAL OUT-OF-SERVICE ORDERS**

Operating a commercial motor vehicle while an existing motor carrier out of service order, issued by the Federal Motor Carrier Safety Administration (FMCSA) is in effect. (Choose from the list of fourteen Sections of the Federal Motor Carrier Safety Regulations (CFRs) listed below.) **Declare vehicle out-of-service until such time as the motor carrier out-of-service order issued by FMCSA has been satisfied.**

<table>
<thead>
<tr>
<th>Description</th>
<th>Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>Failure to Pay Fine – Private Carrier</td>
<td>386.83(a)(1)</td>
</tr>
<tr>
<td>Failure to Pay Fine – For-Hire Carrier</td>
<td>386.83(a)(1)</td>
</tr>
<tr>
<td>UNSAT/UNFIT – Placarded HM</td>
<td>385.13(a)(1)</td>
</tr>
<tr>
<td>UNSAT/UNFIT – Passenger Carriers</td>
<td>385.13(a)(1)</td>
</tr>
<tr>
<td>UNSAT/UNFIT – Property Carriers</td>
<td>385.13(a)(2)</td>
</tr>
<tr>
<td>New Entrant – Failure to Respond to Expedited Action Notification</td>
<td>385.308(d)</td>
</tr>
<tr>
<td>New Entrant – Failure of Safety Audit</td>
<td>385.325(c)</td>
</tr>
<tr>
<td>New Entrant – Refusal of Audit/No Contact</td>
<td>385.337(b)</td>
</tr>
<tr>
<td>Imminent Hazard – Motor Carrier</td>
<td>386.72(b)(4)</td>
</tr>
<tr>
<td>Imminent Hazard – Intermodal Equipment Provider</td>
<td>386.72(b)(4)</td>
</tr>
<tr>
<td>MX Carrier – Inadequate Corrective Action</td>
<td>385.105(b)</td>
</tr>
<tr>
<td>MX Carrier – UNSAT/UNFIT</td>
<td>385.111(a)</td>
</tr>
<tr>
<td>MX Carrier – Suspended Operating Authority for UNSAT Rating or Failed Safety Audit</td>
<td>385.111(c)(1)</td>
</tr>
<tr>
<td>MX Carrier – Revoked Operating Authority</td>
<td>385.111(c)(2)</td>
</tr>
</tbody>
</table>

**Enforcement Guidance:** All out-of-service orders must be confirmed. Vehicles shall only be declared out-of-service after online or telephonic verification of the motor carrier’s out-of-service order.
Strategic Plan

NORTH AMERICAN STANDARD OUT-OF-SERVICE CRITERIA

POLICY STATEMENT

The Strategic Plan is a product of CVSA Members, Local Members, and Associate Members, and as such, it should provide direction and guidance for Alliance activities. It will be important to continually monitor and as appropriate, update the Strategic Plan. It also is important (to the extent practicable) that the Alliance activities and programs align themselves with the Plan.

CVSA will execute this Plan by making sure all activities that take place within the Alliance are mapped to one or more elements within the Strategic Areas of the Plan.

In its communications with the members and those outside the organization, Alliance members and staff will relate organizational initiatives to the Strategic Areas within the plan, and as appropriate, bring any potential modifications before the membership for discussion.

This Plan will be discussed at all CVSA Executive Committee meetings, other committee meetings and conferences. To make this document viable, the membership must take ownership of it and carry out its activities in the spirit of the Plan.

Finally, our values must guide our activities and be shared and practiced by the entire Alliance.
CVSA Mission, Vision and Values

Mission Statement

A mission statement should be a clear description of the purpose, mandate and “business” of an organization. The revised mission statement for the Alliance appears below:

To promote commercial motor vehicle safety and security by providing leadership to enforcement, industry and policy makers.

Vision Statement

A vision statement describes the "preferred future" of an organization. CVSA's vision statement is the following:

The CVSA will be recognized as the international authority on commercial motor vehicle safety and security.

Statement of Values

Organizational values are formal statements of beliefs that guide an organization in its relationships with its stakeholders as it discharges its mission in pursuit of its vision. CVSA's organizational values are the following:

**Integrity:** Providing our customers with the basis for trust, accountability and respect.

**Professionalism:** Consistently developing the highest level of competence, work ethic, openness to new ideas, and continuous self-improvement.

**Leadership:** To inspire, influence, and support our members and partners in the pursuit of our mission.

**Teamwork:** Valuing people working together to achieve common goals and partnerships to enhance our effectiveness.
CVSA Strategic Goals

The goals are listed in order of priority within each category.

1.0 Safety and Security

1.1 Ensure uniform and reciprocal application of North American inspection procedures and out-of-service criteria.
1.2 Ensure effective roadside inspection, compliance review, and safety audit programs.
1.3 Increase traffic enforcement within the commercial vehicle environment.
1.4 Promote the collection and use of accurate real time data to drive commercial motor vehicle enforcement programs.
1.5 Promote the expansion of commercial vehicle safety and security programs at international borders and ports.
1.6 Integrate a strong security component into the North American standard inspection program.
1.7 Ensure that technology supports enforcement’s needs for focusing on high-risk carriers, vehicles, drivers and cargoes.

Outcomes of these goals would include increased knowledge of CMV operations and regulations, an increased comfort level of the motoring public around CMVs, effective CMV enforcement and security, and reduced CMV fatalities, personal injury crashes and incidents throughout North America.

2.0 Outreach

2.1 Enhance CVSA’s position as the “go-to” organization for advice and support on issues related to commercial vehicle safety.
2.2 Enhance collaboration with national and international organizations with similar goals and values as CVSA.
2.3 Increase the visibility of CVSA with industry, and the motoring public.
2.4 Continually publicize innovative and quality services that promote an interest in motor carrier safety and CVSA.
2.5 Influence positive government direction on commercial vehicle safety, enforcement, and security issues throughout North America.
2.6 Expand outreach efforts to the public, industry and related fields through innovative programs and course offerings.

Successful implementation of these goals will position CVSA as the leading CMV safety organization in the world with the ability to influence government, industry, and individuals at all levels on CMV safety matters.
3.0 Training and Certification

3.1 Enhance and maintain core competencies in areas of roadside inspections, traffic enforcement, safety audits and compliance reviews for enforcement, motor carriers and industry.

3.2 Promote the expansion of enforcement personnel in North America through increased training and certification initiatives.

3.3 Enhance the development of training programs by increasing the use of technology and other non-traditional modes of delivery.

3.4 Increase the stature of the organization as the standards and certification body for motor carrier safety enforcement for current and future stakeholders.

3.5 Expand training opportunities to include international security.

3.6 Develop a training curriculum for information technology that can be used by enforcement to enhance data quality and integrity.

3.7 Develop training and curriculum that can be used by jurisdictions to improve program planning and management.

Adopting these goals would result in the maintenance of uniformity and reciprocity and improved efficiencies through international accreditation of a North American training and certification program.

4.0 Organizational Development

4.1 Improve information dissemination between CVSA, roadside inspectors, drivers, and corporate officials.

4.2 Maintain and expand the membership base.

4.3 Enhance and expand funding sources that will attract, retain, and to the extent necessary grow a professional staff.

4.4 Foster the development of future leaders within CVSA.

4.5 Explore opportunities for increased organizational flexibility and responsiveness.

4.6 Promote increased participation of members throughout North America.

4.7 Enhance member and staff communications.

4.8 Increase and expand internal and external communications through electronic and non-electronic forms of media.

4.9 Identify and utilize opportunities to convey organizational message with members, industry, elected officials, regulatory leaders, media, and the public as a whole.

Implementation of the organizational development goals would lead to increased member participation and improved responsiveness to member issues.
North American Standard Inspection Levels

Level I

North American Standard Inspection – An inspection that includes examination of driver’s license; medical examiner’s certificate and Skill Performance Evaluation (SPE) Certificate (if applicable); alcohol and drugs; driver’s record of duty status as required; hours of service; seat belt; vehicle inspection report(s) (if applicable); brake systems; cargo securement; coupling devices; driveline/driveshaft; exhaust systems; frames; fuel systems; lighting devices (headlamps, tail lamps, stop lamps, turn signals and lamps/flags on projecting loads); steering mechanisms; suspensions; tires; van and open-top trailer bodies; wheels, rims and hubs; windshield wipers; emergency exits and/or electrical cables and systems in engine and battery compartments (buses), and HM/DG requirements as applicable. HM/DG required inspection items will be inspected by certified HM/DG inspectors.

NOTE: If more than 20 percent of pushrod travel, on exposed pushrods, cannot be measured, then the inspection would not be considered a Level I inspection and shall be identified as a Level II inspection.

NOTE: A 5-axle vehicle combination with one axle not measured will still require two defective brakes to be placed out-of-service 20 percent.

Level II

Walk-Around Driver/Vehicle Inspection – An examination that includes each of the items specified under the North American Standard Inspection Level II Walk-Around Driver/Vehicle Inspection Procedure. As a minimum, Level II inspections must include examination of: driver’s license; medical examiner’s certificate and Skill Performance Evaluation (SPE) Certificate (if applicable); alcohol and drugs; driver’s record of duty status as required; hours of service; seat belt; vehicle inspection report(s) (if applicable); brake systems; cargo securement; coupling devices; exhaust systems; frames; fuel systems; lighting devices (headlamps, tail lamps, stop lamps, turn signals and lamps/flags on projecting loads); steering mechanisms; suspensions; tires; van and open-top trailer bodies; wheels, rims and hubs; windshield wipers; emergency exits and/or electrical cables and systems in engine and battery compartments (buses), and HM/DG requirements as applicable. HM/DG required inspection items will be inspected by certified HM/DG inspectors. It is contemplated that the walk-around driver/vehicle inspection will include only those items, which can be inspected without physically getting under the vehicle.

Level III

Driver/Credential Inspection – An examination that includes those items specified under the North American Standard Level III Driver/Credential Inspection Procedure. As a minimum, Level III inspections must include, where required and/or applicable, examination of the driver’s license; medical examiner’s certificate and Skill Performance Evaluation (SPE) Certificate; driver’s record of duty status; hours of service; seat belt; vehicle inspection report; and HM/DG requirements. Those items not indicated in the North American Standard Level III Driver/Credential Inspection Procedure shall not be included on a Level III inspection.
Level IV

Special Inspections – Inspections under this heading typically include a one-time examination of a particular item. These examinations are normally made in support of a study or to verify or refute a suspected trend.

Level V

Vehicle-Only Inspection – An inspection that includes each of the vehicle inspection items specified under the North American Standard Inspection (Level I), without a driver present, conducted at any location.

Level VI


As of January 1, 2005, all vehicles and carriers transporting highway route controlled quantities (HRCQ) of radioactive material are regulated by the U.S. Department of Transportation and required to pass the North American Standard Level VI Inspection.

Previously, U.S. Department of Energy (DOE) voluntarily complied with the North American Standard Level VI Inspection Program requirements.

Select radiological shipments include highway route controlled quantities (HRCQ) of radioactive material as defined by Title 49 CFR Section 173.403. And, because only a small fraction of transuranics are HRCQ, DOE has decided to include its transuranic waste shipments in the North American Standard Level VI Inspection Program.

Level VII

Jurisdictional Mandated Commercial Vehicle Inspection – An inspection that is a jurisdictional mandated inspection program that does not meet the requirements of any other level of inspection. An example will include inspection programs such as, but not limited to: school buses; limousines; taxis; shared ride; hotel courtesy shuttles, and other intrastate/intraprovincial operations. These inspections may be conducted by CVSA-certified inspectors, other designated government employees or jurisdiction approved contractors. Inspector training requirements shall be determined by each jurisdiction. No CVSA decal shall be issued for a Level VII inspection but a jurisdiction-specific decal may be applied.
Qualifying for CVSA Decals

General

The North American Standard Level I and/or Level V are the only inspections that may result in issuance of a CVSA decal. To qualify for a CVSA decal, a vehicle must not have any Critical Vehicle Inspection Item violations contained in CVSA Operational Policy.

Inspections must be performed by and CVSA decals affixed by North American Standard Level I and/or Level V certified inspectors. The term “certified” as used in this section means the government employee performing inspections and/or affixing CVSA decals must have first successfully completed a training program approved by the Alliance. CVSA decals, when affixed, shall remain valid for a period not to exceed three consecutive months. Vehicles displaying a valid CVSA decal generally will not be subject to re-inspection.

However, nothing shall prevent re-inspection of a vehicle or combination of vehicles bearing valid CVSA decals, under the conditions specified in the section titled, “Vehicle Re-Inspections”.

Critical Vehicle Inspection Items

- Brake Systems
- Cargo Securement
- Coupling Devices
- Driveline/Driveshaft
- Exhaust Systems
- Frames
- Fuel Systems
- Lighting Devices (Headlamps, Tail Lamps, Stop Lamps, Turn Signals and Lamps/Flags on Projecting Loads)
- Steering Mechanisms
- Suspensions
- Tires
- Van and Open-Top Trailer Bodies
- Wheels, Rims and Hubs
- Windshield Wipers
- Emergency Exits and/or Electrical Cables and Systems in Engine and Battery Compartments (Buses)

Raised Lift Axle(s)

Raised lift axles are to be inspected to ensure all components are secure and for conditions that adversely affect the vehicles operation (i.e., air leaks, etc.). If a Critical Vehicle Inspection Item defect is discovered on the raised axle, the vehicle is not eligible to receive a CVSA decal and the defect should be documented in the notes section of the inspection report. The raised lift axle shall not be included in determining the total number of brakes on a vehicle combination for the 20 percent service brake calculation. If the raised lift axle is required to be lowered to comply with regulatory requirements in order to continue operation, the operator has the option to adjust or offload cargo. Otherwise the axle is subject to inspection.
CVSA Decals on Cargo Tanks

When a U.S. DOT/Transport Canada specification cargo tank inspection is completed in conjunction with North American Standard Level I and/or Level V Inspection CVSA decals shall not be issued to U.S. DOT/Transport Canada specification cargo tank vehicles found to have violations of the following:

- Retest Requirements
- Cargo Tank Authorization
  - Does not include specification shortages
- Manhole Covers
- Internal Valves
- Discharge Valves
- Cargo Tank Integrity
- Supports and Anchoring
- Double Bulkhead Drains
- Ring Stiffeners
- Rear End Protection
- Emergency Flow Control
- Piping and Protection
- Overturn Protection
- Venting

Vehicle Inspections

Each vehicle (i.e., motorcoach, school bus, other bus, truck, truck tractor, semi-trailer, trailer, converter dollies, etc.) used singularly or in combination may qualify for a CVSA decal if it passes inspection, and a CVSA decal shall be applied. “Pass Inspection” means that during a North American Standard Level I or Level V Inspection no defects are found in the Critical Vehicle Inspection Items.

For the purpose of a CVSA decal issuance, if no violation is detected during a North American Standard Level I or Level V Inspection due to a hidden part, other than pushrod stroke measurements, of the listed Critical Vehicle Inspection Items, then a CVSA decal shall be applied. However, if more than 20 percent of pushrod travel, on exposed pushrods, cannot be measured, then a CVSA decal shall not be applied. An inspector can still apply a CVSA decal even though his/her jurisdiction does not allow for the inspection of gaseous fuel systems.

The CVSA decal criteria apply only to the condition of the vehicle, not the driver. It is possible for a driver to be out-of-service and still have vehicle(s) qualify for a CVSA decal.
Vehicle Re-Inspections

A Critical Vehicle Inspection Item violation(s) (OOS or otherwise) noted during a CVSA Level I inspection that is successfully repaired on-site and re-inspected by the same inspector at the same inspection location will qualify for a CVSA decal as long as all previously noted Critical Vehicle Inspection Item violation(s) have been properly repaired. In such instances, only a re-inspection of the repaired violation(s) shall be done with decal(s) being applied to the vehicle(s) and properly noted upon the original inspection.

Any vehicle that is repaired off-site or inspected by a different inspector shall be required to have a complete inspection conducted in order to obtain a CVSA decal.

Nothing within this policy shall require an inspector to re-inspect a vehicle, with that decision being left to the individual inspector and his/her agency.

For the purposes of uniformity in the application of this section and maximum maintenance of the reciprocity standard, re-inspection of a vehicle bearing a current and valid CVSA decal is contemplated under the following circumstances:

1. A North American Standard Critical Vehicle Inspection Items or out-of-service violation is detected;

2. When a North American Standard Level IV (Special Inspection) exercise is involved;

3. When a statistically based random inspection technique is being employed to validate an individual jurisdiction or regional out-of-service percentage;

Or,

4. When re-inspections are conducted to maintain CVSA North American Standard Inspection quality assurance.

Location of CVSA Decals

The location for affixing a CVSA decal on a power unit shall be on the lower right corner of the exterior surface of the passenger's windshield.

The location for affixing a CVSA decal on trailing units (i.e., trailers, full trailers, semi-trailers, converter dollies, etc.) shall be on the lower right corner as near the front as possible.

The location for a CVSA decal on a cargo tank semi-trailer shall be at eye-level near the right front of the cargo tank and on the lower right corner of the exterior surface of the passenger's windshield of a straight truck.

The location for a CVSA decal on passenger vehicles shall be on the glass portion (window) of the passenger door as close to inspector's eye-level as possible.

Any expired CVSA decal shall be removed before a new CVSA decal is affixed.
Application of CVSA Decals

The quarter in which an inspection is performed is indicated by the color of the CVSA decal issued.

<table>
<thead>
<tr>
<th>Inspection Period</th>
<th>Color Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>January, February, March</td>
<td>Green</td>
</tr>
<tr>
<td>April, May, June</td>
<td>Yellow</td>
</tr>
<tr>
<td>July, August, September</td>
<td>Orange</td>
</tr>
<tr>
<td>October, November, December</td>
<td>White</td>
</tr>
</tbody>
</table>

The year of issuance shall be indicated by using the last number of the calendar year (i.e., 2014 shall be indicated by the number “4”) and shall be printed at the top portion of the sticker, with the CVSA trademark printed directly below.

CVSA decals affixed on the first month of a new calendar quarter must have both upper corners removed. Those issued during the second month of the same quarter must have the upper right corner removed. No corners are removed from those CVSA decals issued during the last month of a calendar quarter.

CVSA decals, affixed, will remain valid for the month of issuance plus two months. For example, a CVSA decal issued on July 28 will expire September 30.

In general, vehicles displaying a valid CVSA decal are not subject to re-inspection. However, if an obvious defect is noticed on a vehicle with a current CVSA decal, nothing prevents a party from re-inspecting that vehicle.

Should re-inspection of a vehicle displaying a valid CVSA decal disclose vehicle maintenance inconsistent with the minimum inspection criteria, the CVSA decal must be removed. However, if the defects found are repaired at the scene, the CVSA decal does not have to be removed. In those instances where a complete re-inspection is performed and defects were absent or corrected at the scene, a new CVSA decal should be applied.
Inspection Bulletins

*2014-01 — Driveline/Driveshaft Inspections
   (Created 04-01-14)

*2013-03 — Driver Possession of a Valid Medical Certificate
   (Revised 11-06-13)

*2013-02 — Antilock Brake Systems (ABS) Inspections
   (Revised 09-19-13)

2012-06 — Intermodal Equipment Provider Marking Options
   (Revised 08-15-12)

2012-05 — Automatic On-Board Recording Devices (AOBRDs) Hand-Held
   (Created 06-06-12)

2012-04 — Hydraulic Brake System Inspection Procedures
   (Created 04-26-12)

2012-02 — Brake Pedal (Valve & Treadle Assembly) Inspections
   (Created 02-01-12)

2011-03 — Securement of an Intermodal Container on an Intermodal Chassis
   (Created 04-14-11)

2010-07 — Enforcement of Medical Certificate on Passenger Carrying Vehicle Drivers
   (Created 10-27-10)

2010-05 — MCI Buses with Detroit Diesel Engines
   (Created 05-19-10)

2010-04 — Bus Inspection Ramp Use Procedures & Equipment Check List
   (Revised 05-19-10)

2010-03 — Rack and Pinion Steering System Inspection
   (Created 05-19-10)

2010-02 — Inspection of Vehicles Equipped with 2007 & 2010 EPA Certified Engines
   (Revised 05-19-10)

2010-01 — Tractor Protection Systems
   (Revised 05-19-10)

2007-01 — Express Brake International, Inc. — Segmented Brake Linings
   (Revised 09-15-08)

2006-01 — Camshaft Bushings
   (Created 03-29-06)

   (Created 01-04-05)
Application of the North American Standard Out-of-Service Criteria:

INTERPRETATION #1:
In a Peterbuilt air suspension assembly, is a loose or missing spring eye ubolt an out-of-service condition (see diagram below)?

ANSWER: No, not unless it has somehow resulted in axle displacement.

INTERPRETATION #2:
Is it an OOS condition when a vehicle has had a tire or rim problem and a driver or owner has either singled out the axle or has removed the wheels and chained up the axle?

ANSWER: If the vehicle arrives at an inspection site in this condition, this is not a violation unto itself, but other violations may have resulted from this action (e.g., exceeds tire weight rating).

However, if a vehicle is inspected, the driver should not be permitted to single-out a tire or chain up an axle as a “quick fix” for an out-of-service defect. This does not comply with CVSA Operational Policy 5 which states:

“...REQUIRED REPAIRS FOR OUT-OF-SERVICE NOTICES
The following shall be the policy regarding required repairs for out-of-service notices:

No motor carrier shall require nor shall any person operate, or any inspector release any commercial motor vehicle declared “out-of-service” until all repairs required by the “out-of-service notice” have been satisfactorily completed to where a violation no longer exists. …”
INTERPRETATION #3:
Shall a tiedown used to secure auxiliary equipment on a heavy vehicle be used in the calculation of the aggregate working load limit?

**ANSWER:** Yes

INTERPRETATION #4:
When an air leak is found at a fitting, when should it be placed out-of-service?

![Diagram of proper and improper connections](image)

**ANSWER:** A proper connection is a gladhand connection or where two metal fittings join together. An air hose with a leak at the hose side of a fitting is not considered a proper connection.

INTERPRETATION #5:
Is a loose or missing rebound bolt a violation or OOS?

**ANSWER:** A rebound bolt in a spring hanger or equalizer that is loose is not considered a violation. A missing or broken rebound bolt is considered a violation but not OOS.
INTERPRETATION #6:
Can a bungee cord or tarp strap be used as a primary means of securing an article of cargo and does it need to be rated and marked with a working load limit (WLL)?

ANSWER: Bungee cords and tarp straps are not suitable for use as tiedowns, and are equally unsuited to having an assigned WLL. There is no intention to prohibit the use of these devices as supplementary restraint for light weight cargo and equipment.

*INTERPRETATION #7:
If the cross tube brace is cracked, loose, corroded or broken, is it a violation or an OOS condition?

ANSWER: No, the “cross tube brace” is used to position the suspension for shipment and installation and has no bearing on the alignment or the function of the suspension.